

# Conformal Field Theory as a Nuclear Functor

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with

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# Overview

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- Some things are not quite categories,
- but if they were, they would be compact closed: nuclear ideals.
- Conformal field theory is an example of a nuclear **functor**.

# Why compact closure matters

Many mathematical objects have a notion of “dual” object, e.g. vector spaces.

There is a notion of “matrix” representation.

If we can freely move between “input” and “output” we have interesting “transpose” operations.

Typical examples: **Rel**, the category of sets and relations, **FDVect**( $\mathbb{C}$ ), the category of finite-dimensional vector spaces over the complex numbers.

Relations can be turned around at will; we can decide what is “input” and “output.” Abramsky exploited this in his theory of SProc, relations extended in time.



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- We can take "traces":  $R(x,y;w,z)$  becomes

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# Vectors and Matrices

- We can certainly view linear maps as (higher-order) matrices.

- We can transpose at will: from

$$\lambda : V \otimes W^* \rightarrow X$$

- to

$$\lambda^t : V \rightarrow W \otimes X$$

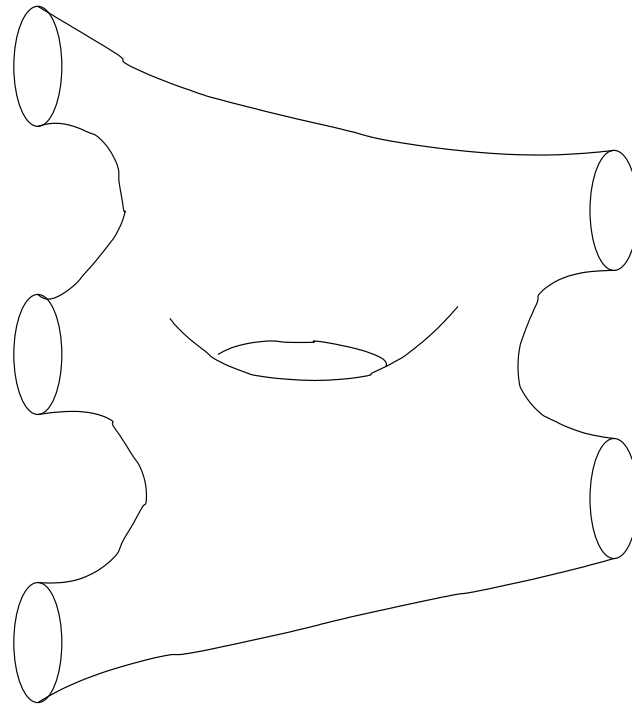
# We can take traces

$$\lambda : U \otimes V \rightarrow U \otimes W$$

- becomes

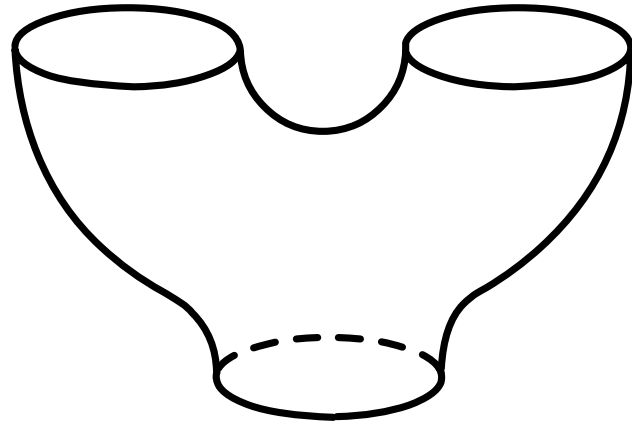
- $tr_U(\lambda) : V \rightarrow W$

But there are other  
examples as well.

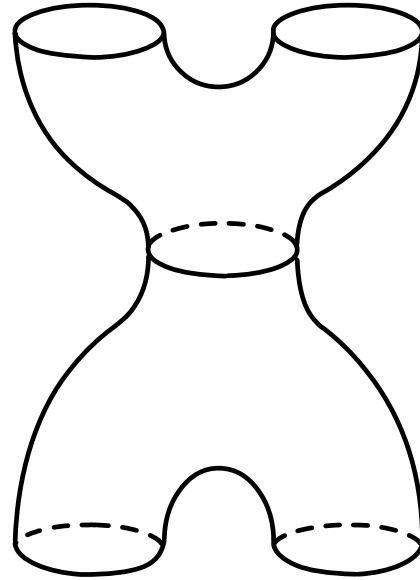


The category of Cobordisms. Objects are circles (1D compact manifolds), morphisms are 2 manifolds with boundary.





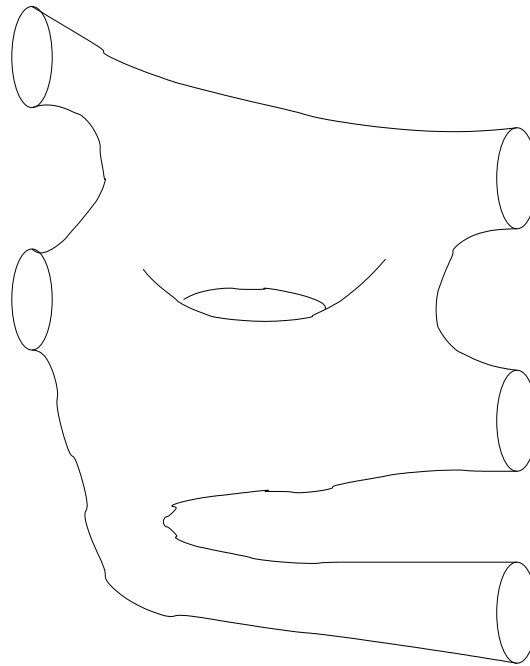
# Composition



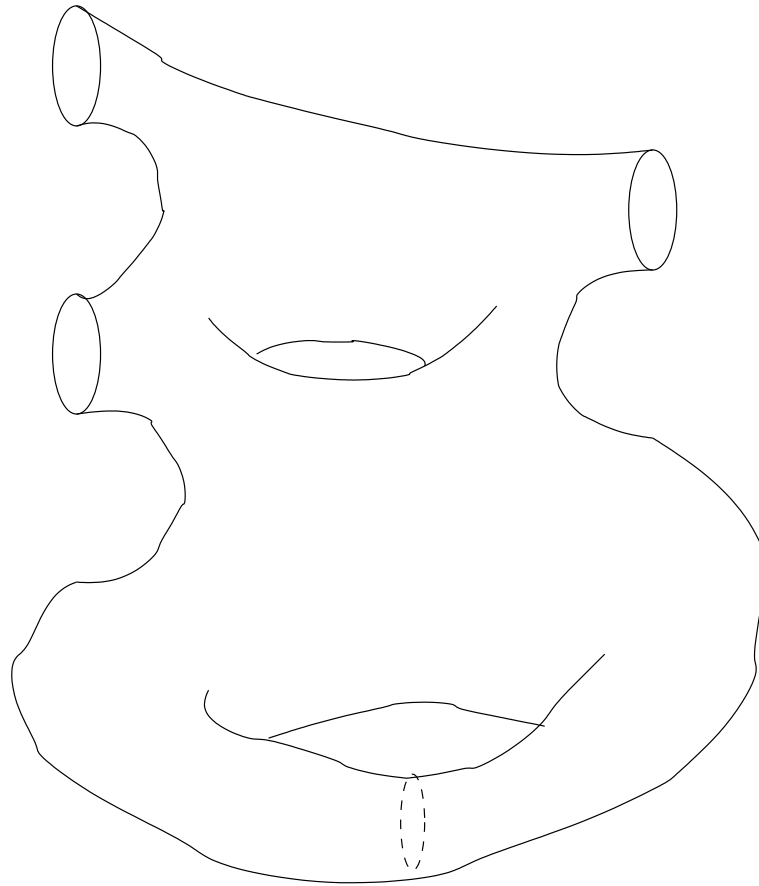
ig. 2. A composite of two cobordisms

We can deform at will. Thus, we are really looking at manifolds up to homotopy equivalence. A cylinder is the identity.

# We can transpose!



# We can take traces!



# Closed Structure

A symmetric monoidal category is **closed** or **autonomous** if, for all objects  $A$  and  $B$ , there is an object  $A \multimap B$  and an adjointness relation:

$$\text{Hom}(A \otimes B, C) \cong \text{Hom}(B, A \multimap C)$$

# Compact Closure

A *compact closed category* is a symmetric monoidal category such that for each object  $A$  there exists a dual object  $A^*$ , and canonical morphisms:

$$\begin{aligned}\nu: I &\rightarrow A \otimes A^* \\ \psi: A^* \otimes A &\rightarrow I\end{aligned}$$

such that the usual adjunction equations hold.

**Examples: Rel, FDVect, FDHilb, Cob, SProc,...**

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- Conjugation and transpose can be combined to give **adjoints**.
- Sometimes, the conjugation is trivial (Rel) but in QM it is absolutely vital.

# Dagger Compact Categories

- Abramsky and Coecke [LICS 2004] introduced strongly compact closed categories to give a categorical axiomatization of QM.
- Selinger [2004] showed how to extend everything to mixed states and axiomatized adjointness as a “dagger” functor.

# Dagger Categories

**Definition 3.3** *A category  $\mathcal{C}$  is a dagger category if it is equipped with a functor  $(-)^{\dagger}: \mathcal{C}^{op} \rightarrow \mathcal{C}$ , which is strictly involutive and the identity on objects. In such a category, a morphism  $f$  is unitary if it is an isomorphism and  $f^{-1} = f^{\dagger}$ . An endomorphism is hermitian if  $f = f^{\dagger}$ . A symmetric monoidal dagger category is one in which all of the structural morphisms in the definition of symmetric monoidal category [25] are unitary and dagger commutes with the tensor product.*

**Definition 3.4** *A symmetric monoidal dagger category  $\mathcal{C}$  is said to have conjugation if equipped with a covariant functor  $(-)^{*}: \mathcal{C} \rightarrow \mathcal{C}$  (called conjugation) which is strictly involutive and commutes with both the symmetric monoidal structure and the dagger operation. Since we have a covariant functor, we denote its action on arrows as follows:*

$$f: A \rightarrow B \longmapsto f_*: A^* \rightarrow B^*$$

*This is in line with the notation of [33].*

*So in particular, our  $*$ -functor satisfies*

$$(f_*)^{\dagger} = (f^{\dagger})_*: B^* \rightarrow A^*$$

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- But they really want to be!



# Hilbert-Schmidt Maps

If  $f: \mathcal{H} \rightarrow \mathcal{K}$  is a bounded linear map, we call  $f$  a *Hilbert-Schmidt map* if the sum  $\sum_{i \in I} \|f(e_i)\|^2$  is finite for an orthonormal basis  $\{e_i\}_{i \in I}$ . The sum is independent of the basis chosen.

# Towards Nuclearity

One can easily verify that the Hilbert-Schmidt operators on a space form a 2-sided ideal in the set of all bounded linear operators. Furthermore, if  $\text{HSO}(\mathcal{H}, \mathcal{K})$  denotes the set of Hilbert-Schmidt maps from  $\mathcal{H}$  to  $\mathcal{K}$ , then  $\text{HSO}(\mathcal{H}, \mathcal{K})$  is a Hilbert space, when endowed with an appropriate norm.

, there is a bijective correspondence:

$$\text{HSO}(\mathcal{H}, \mathcal{K}) \cong \text{Hom}(I, \mathcal{H}^* \otimes \mathcal{K})$$

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- We can transpose the Hilbert-Schmidt maps but not any old linear maps.
- HS maps form a two-sided ideal and interact well with the monoidal structure.
- Why not make a compact-closed category out of the Hilbert-Schmidt maps?

# Identity Crisis?

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- The identity maps are not Hilbert–Schmidt unless the space is finite dimensional!
- They are too singular to be members of the putative category of Hilbert–Schmidt maps.

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- We look for an “ambient” category that has monoidal and dagger structure and include all the morphisms that are “dying to be in a compact closed category.”
- We show that the morphisms of interest form an ideal and have **many** of the properties of a dagger compact category.

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- There is a smaller ideal called the “trace class” maps which do have traces.
- Some nuclear maps are too singular to be traced.
- However, the composite of any two nuclear maps is always traced.

$$\begin{pmatrix} 1 & 0 & 0 & \dots \\ 0 & \frac{1}{2} & 0 & \dots \\ 0 & 0 & \frac{1}{3} & \dots \\ \dots & \dots & \dots & \dots \end{pmatrix}$$

This is Hilbert-Schmidt because  $\sum_{i=1}^{\infty} i^2 < \infty$  but  
 $\sum_{i=1}^{\infty} i = \infty$ .

### 3.4 Examples

- The category **Rel** of sets and relations is a tensored  $*$ -category for which the entire category forms a nuclear ideal.
- The category of Hilbert spaces and bounded linear maps is a well-known tensored  $*$ -category, which, in fact, led to the axiomatization [10]. Then the Hilbert-Schmidt maps form a nuclear ideal [2]
- The category **DRel** of tame distributions on Euclidean space [2] is a tensored  $*$ -category. The ideal of test functions (viewed as distributions) is a nuclear ideal.
- We will define a subcategory of **Rel** called the category of *locally finite relations*. Let  $R: A \rightarrow B$  be a binary relation and  $a \in A$ . Then  $R_a = \{b \in B \mid aRb\}$ . Define  $R_b$  similarly for  $b \in B$ . Then we say that a relation is *locally finite* if, for all  $a \in A, b \in B$ ,  $R_a, R_b$  are finite sets. Then it is straightforward to verify that we have a tensored  $*$ -category which is no longer compact closed. It is also easy to verify that the finite relations form a nuclear ideal.

# What is Cob?

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- The identities are cylinders; nothing singular about them.

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# TQFT

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- We want to describe the evolution of quantum “matter” on this space-time: define a functor from Cob to FDHilb so that all the compact-closed structure is preserved.
- Note that everything is trace class in FDHilb.
- Think of this as zero-energy physics.

# Conformal Field Theory

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- Interested in phenomena that are **scale invariant**. These arise in statistical mechanics especially in the study of phase transitions.

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- These are closely connected to complex analysis because these transformations are precisely the ones that leave the complex structure invariant.

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- The infinitesimal conformal transformations in 2D form an infinite dimensional Lie algebra (which physicists call the conformal group)
- which can be identified with the functions that leave the complex analytic structure invariant.

# Complex Structures

- We need an abstract analogue of  $i$ .
- Given a vector space  $V$  (not necessarily finite dimensional) we define  $J: V \rightarrow V$  so that

$$J^2 = -I.$$

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Taking determinants:

$$(\det(J))^2 = (-1)^n.$$

So  $n$  better be even.

Thus complex structures can only be defined on even-dimensional manifolds.

# Riemann Surfaces

A Riemann surface is a topological space  $X$  with an open cover  $\mathcal{U}$ , together with homeomorphisms  $\phi_i : U_i \rightarrow \mathcal{O}$ , where  $\mathcal{O}$  is an open subset of  $\mathbb{C}$  and on the overlap regions  $U_i \cap U_j$  the composites (restricted appropriately)  $\phi_i \circ \phi_j^{-1}$  are holomorphic.



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- Instead of using cobordisms, the morphisms are required to be manifolds admitting complex structures, Riemann surfaces.
- they can only be squashed by conformal transformations, i.e. transformations that preserve the complex structure.

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- In the topological case (TQFT) there is one morphism from 0 to 1, namely a disc.
- In CFT the **set** of discs with different conformal structures itself has the structure of a complex manifold.

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- The identity morphism cannot be a cylinder anymore.
- We cannot attach a cylinder and conformally squash it down to a circle. A circle has no complex structure!
- The thing that wants to be the identity is too “singular”!

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- Want to make Segal's "category" live inside a  $*$ -tensor category. This involves adding the circles in some principled way.
- There is a way of adding "singular" objects to the collection of curves (Mumford compactification) but this is more fancy than needed.

Enter Neretin

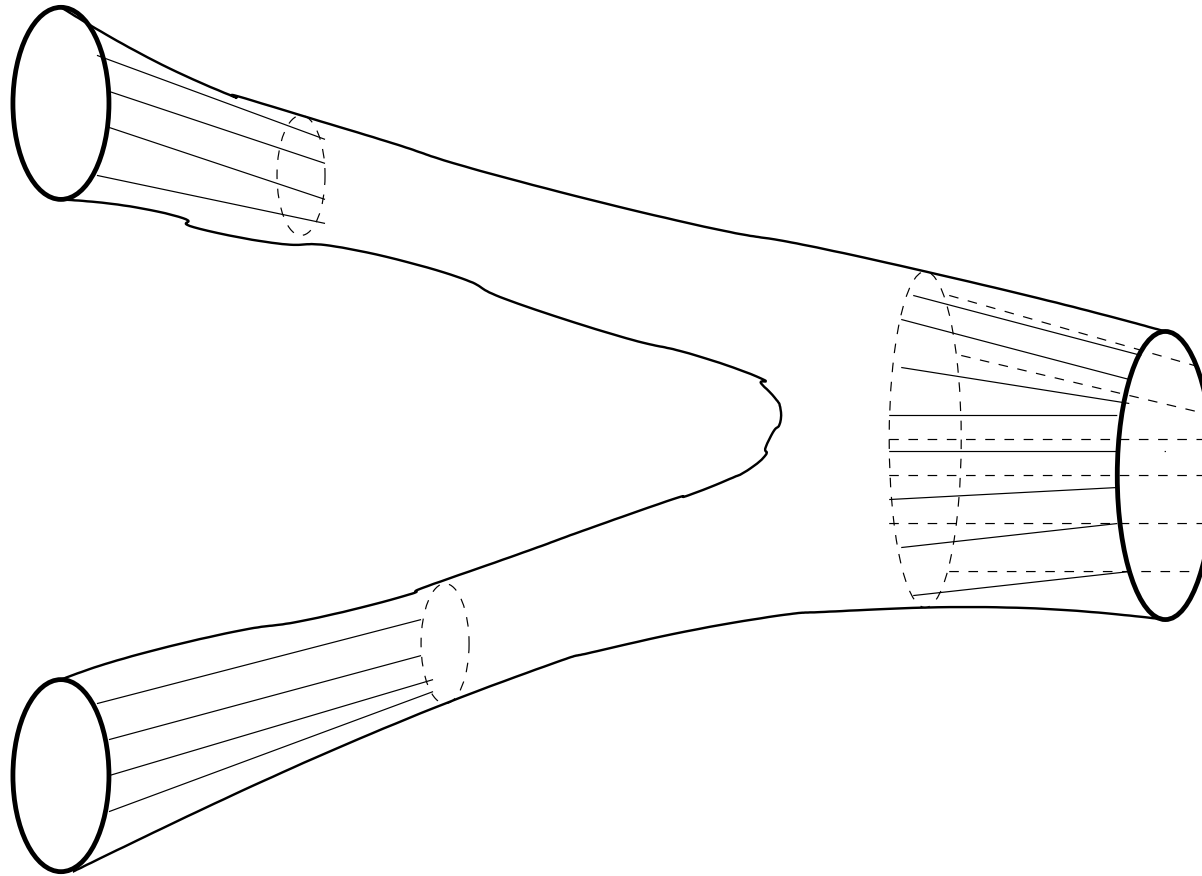
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- Neretin defined a category by “collaring” the Riemann surfaces and then allowing the circles to show up as thin collars. This is a symmetric-monoidal dagger category called **Pants**.

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- Neretin defined a category by “collaring” the Riemann surfaces and then allowing the circles to show up as thin collars. This is a symmetric-monoidal dagger category called **Pants**.
- He defined a “volume” in such a way that Riemann surfaces had positive volume and the circles had zero volume.





Positive Volume Surface; collars do not intersect.

The collared regions are conformal images of the regions

$$D^+ = \{z : |z| \leq 1\}$$

and

$$D^- = \{z : |z| \geq 1\}.$$

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- A morphism where the collars do not intersect is said to have “positive volume.”
- The collection of positive volume morphisms forms a nuclear ideal in **Pants**.

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# CFT Revisited

- A Conformal Field Theory is just a nuclear functor from **Pants** to **Hilb**.
- In this case it follows that the nuclear maps in **Pants** go to **trace-class** maps in **Hilb**.
- This gives Segal's definition.
- A **generalized** CFT is a nuclear functor from **Pants** to any category with a nuclear ideal.

# Correct Linear Relations

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- Neretin gives a construction that turns out to be an example of a generalized CFT based on what he calls Correct Linear Relations (CLR).

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- The bulk of the paper is taken up by checking that this example really gives a generalized CFT.

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- exactly the G of I execution formula.



*A linear relation  $P$  is called correct if it is the graph of an operator*

$$\Omega_P: V_+ \oplus W_- \rightarrow V_- \oplus W_+$$

*where the matrix*

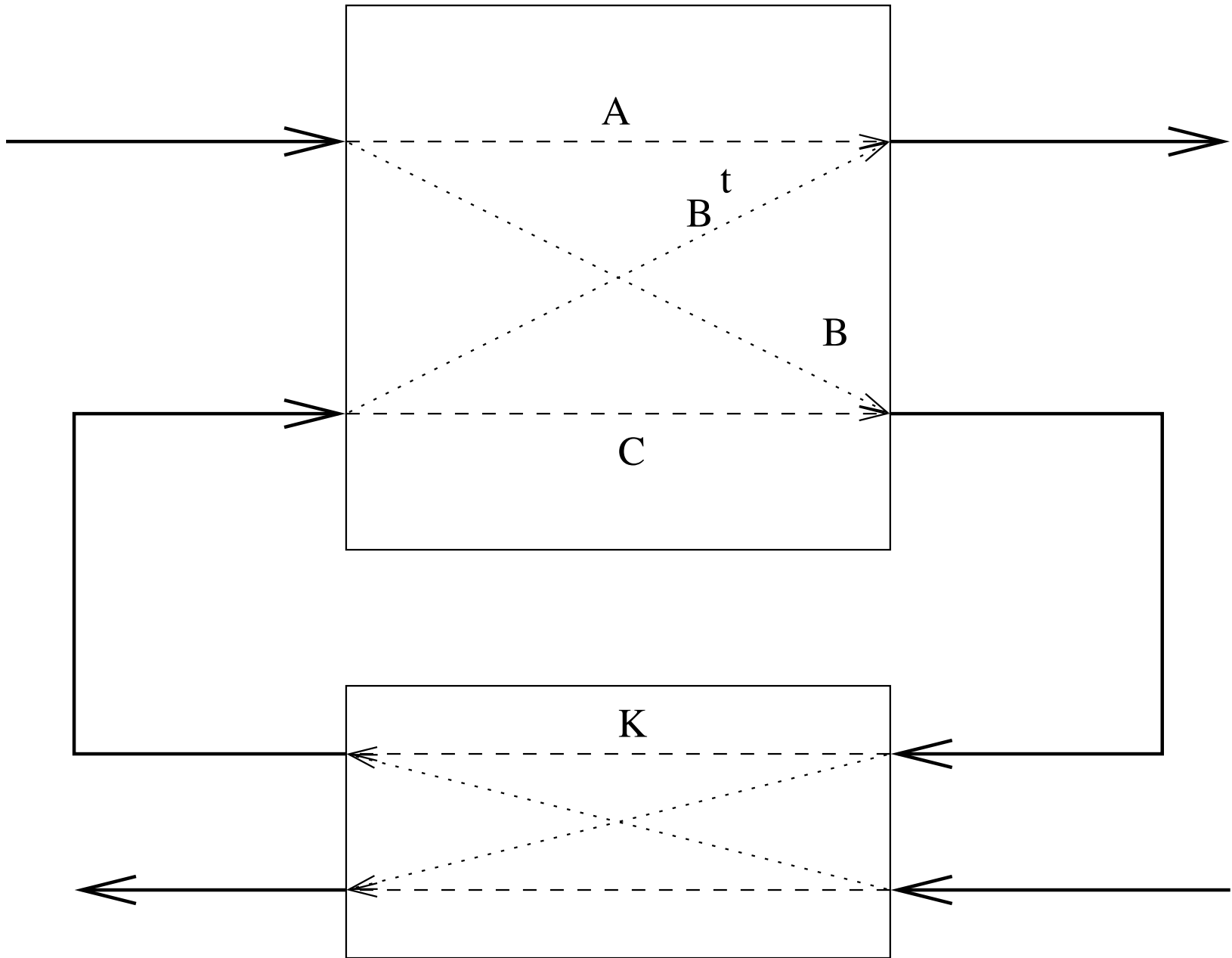
$$\Omega_P = \begin{pmatrix} K & L \\ L^t & M \end{pmatrix}$$

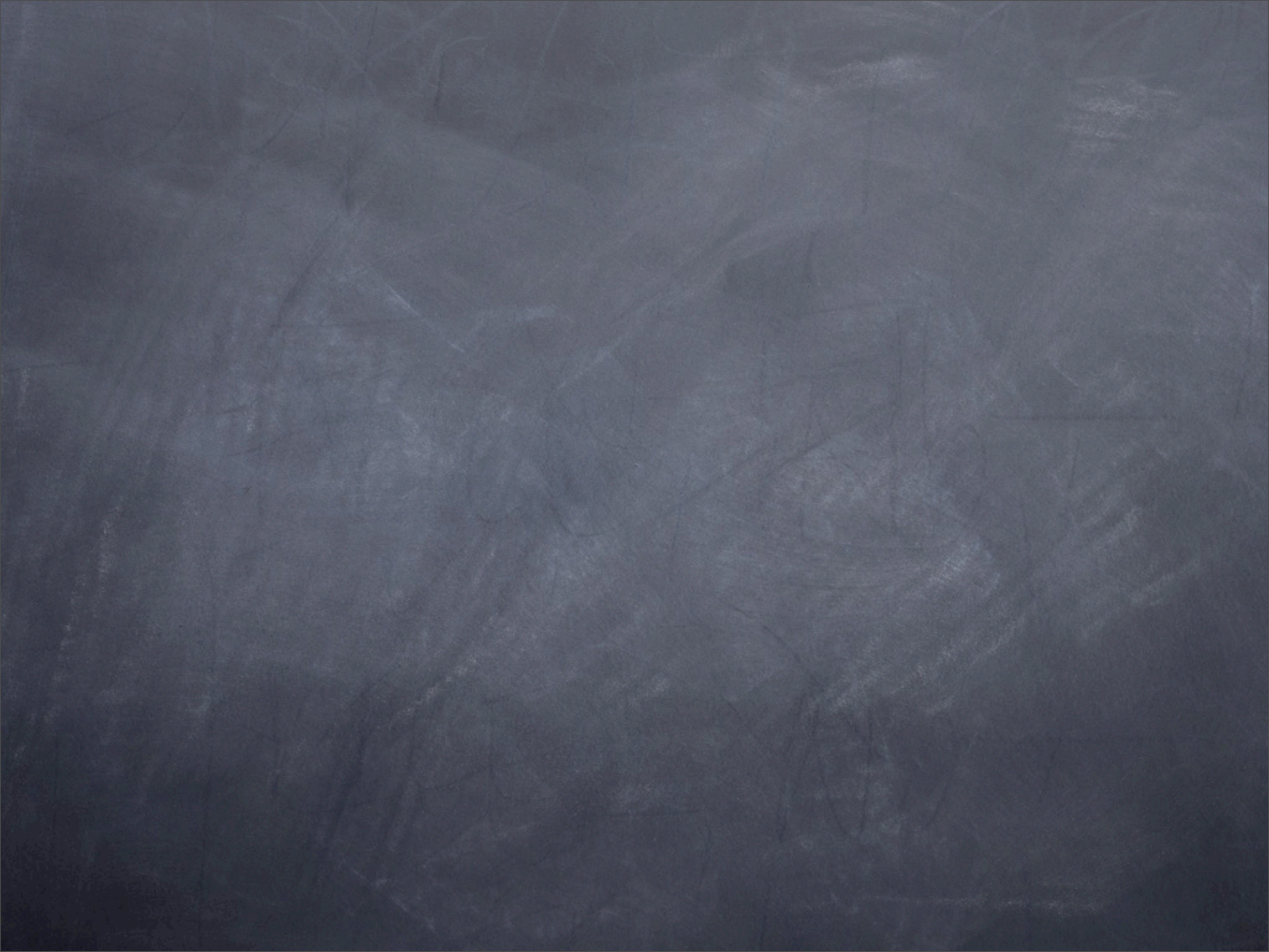
*has the following properties:*

- (a)  $K = -K^t$  and  $M = -M^t$ ;
- (b)  $\|\Omega_P\| \leq 1$ ;
- (c)  $\|K\| < 1$  and  $\|M\| < 1$ ;
- (d)  $K$  and  $M$  are Hilbert-Schmidt operators.

$$\begin{pmatrix} A & B \\ B^t & C \end{pmatrix} * \begin{pmatrix} K & L \\ L^t & M \end{pmatrix} =$$

$$\begin{pmatrix} A + BK(1 - CK)^{-1}B^t & B(1 - KC)^{-1}L \\ L^t(1 - CK)^{-1}B^t & M + L^t(1 - CK)^{-1}CL \end{pmatrix}$$





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- The generalized version of CFT could allow one to explore entirely new kinds of CFT, for example, by looking at nuclear functors into the category of Stochastic Relations.