

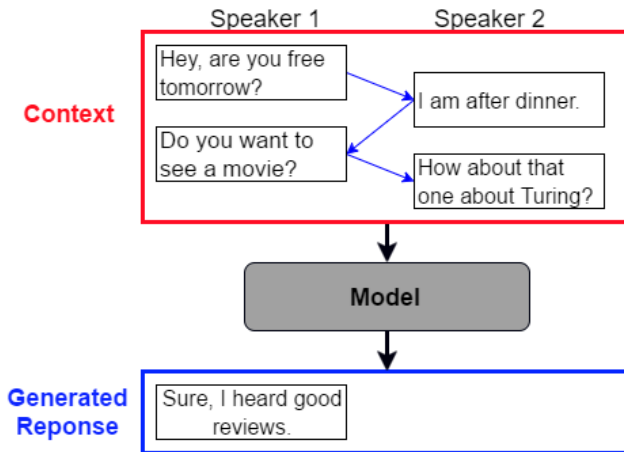
# Policy Gradient Methods for Dialogue Response Generation

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# Dialogue Generation



- We can measure the quality of a response using ADEM
  - A Dialogue Evaluation Model (R. Lowe, M. Noseworthy, I.V. Serban, N. Angelard-Gontier, Y. Bengio, and J. Pineau)

# Dialogue Generation

- **Goal:** Train a model to maximize the ADEM score
- We will use the policy-gradient framework from RL
  - State ( $s_t$ ): What has been generated up to this point  $\hat{Y}_{1,\dots,t-1}$  given a context  $c$
  - Action ( $a_t$ ): Emit a token <sup>1</sup>  $\hat{w}_t$  in the generated response  $\hat{Y}$  given a context  $c$
  - Policy ( $\pi$ ): The HRED <sup>2</sup> model (softmax over the vocab)
  - Return ( $R$ ): The ADEM score for a generated response
    - Rewards are 0 except for the final step.
    - Reward part of sentences with ADEM might gives us a very bad signal
  - Work inspired by “An Actor-Critic Algorithm for Sequence Prediction” (D. Bahdanau et al., 2017)
- Data-set used: On-line Tweets (~700,000 conversations)

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<sup>1</sup>We use BPE (sub-word level) tokens to reduce the size of the action space from ~20k to ~5k

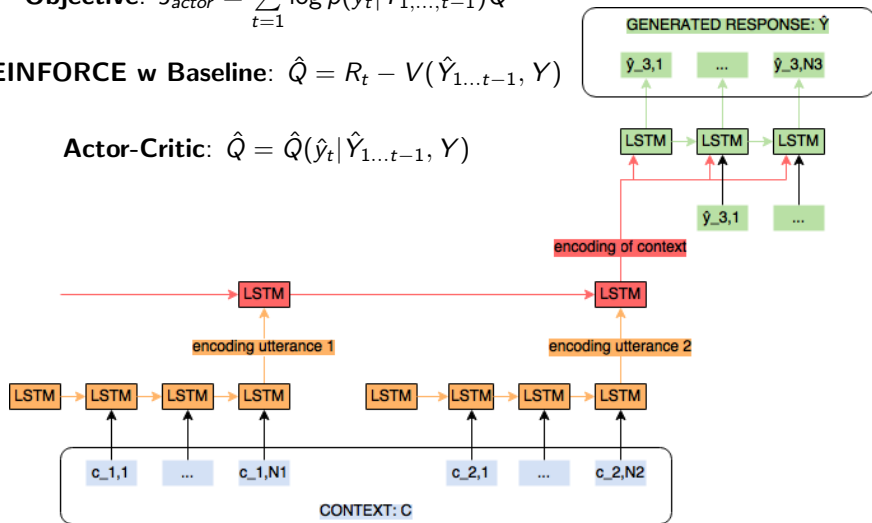
<sup>2</sup>I.V. Serban et al. (2016)

# Actor Network

**Objective:**  $J_{actor} = \sum_{t=1}^T \log p(\hat{y}_t | \hat{Y}_{1,\dots,t-1}) \hat{Q}$

**REINFORCE w Baseline:**  $\hat{Q} = R_t - V(\hat{Y}_{1\dots t-1}, Y)$

**Actor-Critic:**  $\hat{Q} = \hat{Q}(\hat{y}_t | \hat{Y}_{1\dots t-1}, Y)$

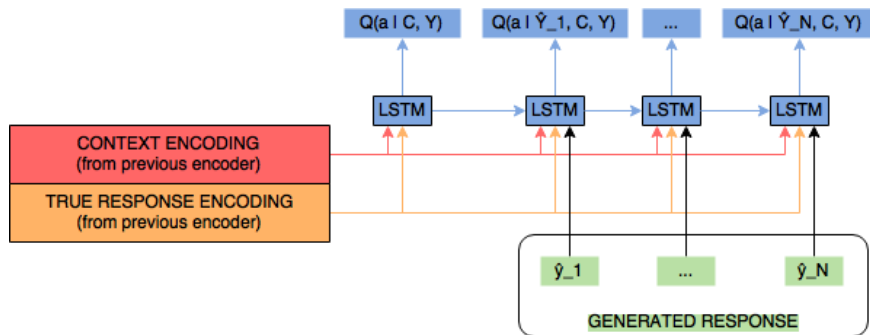


# Critic Network

**TD Targets:**  $q_t = R(\hat{Y}_{1,\dots,t}) - \bar{R} + \sum_{a \in \text{Vocab}} P(a | \hat{Y}_{1,\dots,t}) * \hat{Q}(a | \hat{Y}_{1,\dots,t})$

**Objective:**  $J_{critic} = \sum_{t=1}^T (q_t - \hat{Q}(\hat{y}_t | \hat{Y}_{1,\dots,t-1}))^2 + \lambda C_t$

**Regularization:**  $C_t = \sum_{a \in \text{Vocab}} \hat{Q}(a | \hat{Y}_{1,\dots,t-1}) - \bar{\hat{Q}}(\cdot | \hat{Y}_{1,\dots,t-1})$



# Challenges

## Large Action Space

- Critic target  $q_t$  uses  $(R_t - \bar{R})$  to reduce variance in the reward
- $J_{critic} = \sum_{t=1}^T \text{squared error loss} + \lambda C_t$  to penalize variance in the critic values  $\hat{Q}(a | \hat{Y}_{1,\dots,t-1})$
- Pretrain the actor with ML objective:  
 $J_{actor} = \sum_{t=1}^T \log p(\hat{y}_t | Y_{1,\dots,t-1})$
- Pretrain the critic with samples from the pretrained actor

## Sparse Reward Signal

Things to try:

- Use ADEM to score sub-parts of generated response? May be really bad, takes more time.
- Monte Carlo roll-outs from each time steps to have a full sentence before sending it to ADEM? Very time consuming!