
COMP 551 – Applied Machine Learning

Lecture 17: Deep Learning (cont'd)

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Class web page: www.cs.mcgill.ca/~jpineau/comp551

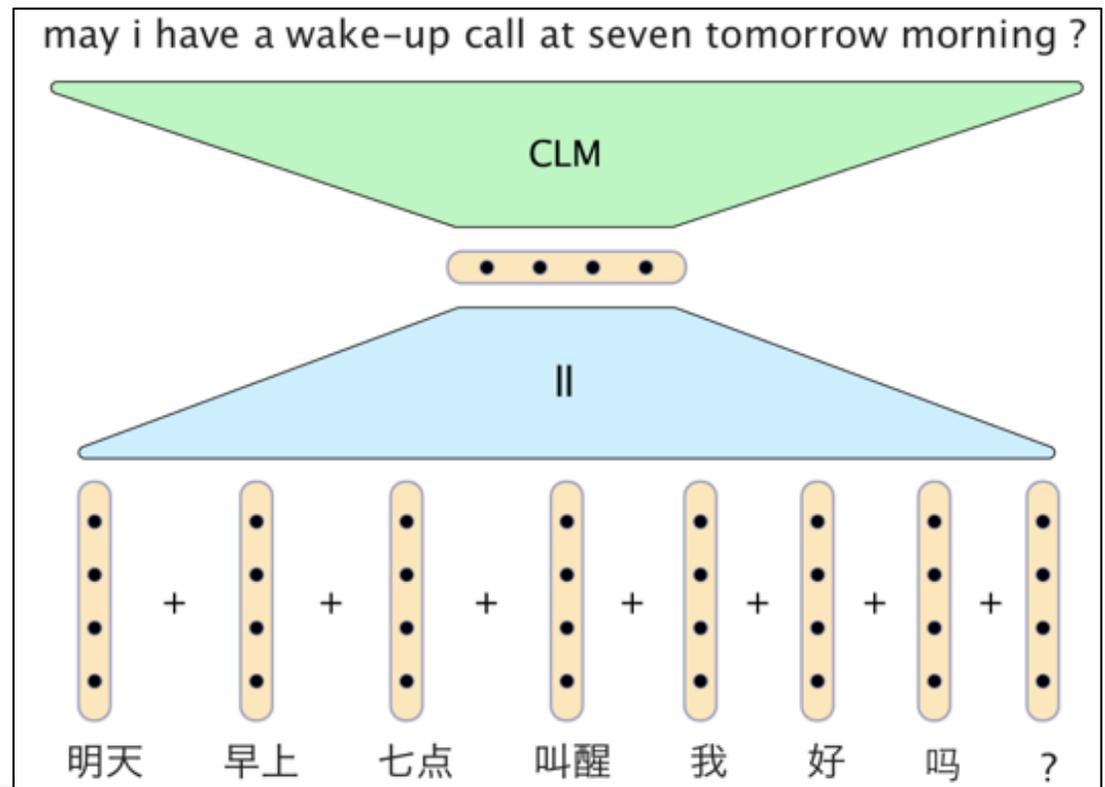
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Major paradigms for deep learning

- **Deep neural networks**: The model should be interpreted as a computation graph.
 - **Supervised training**: Feedforward neural networks.
 - **Unsupervised pre-training**: Stacked autoencoders.
- Special architectures for different problem domains.
 - Computer vision => Convolutional neural nets.
 - Text and speech => Recurrent neural nets.

Neural models for sequences

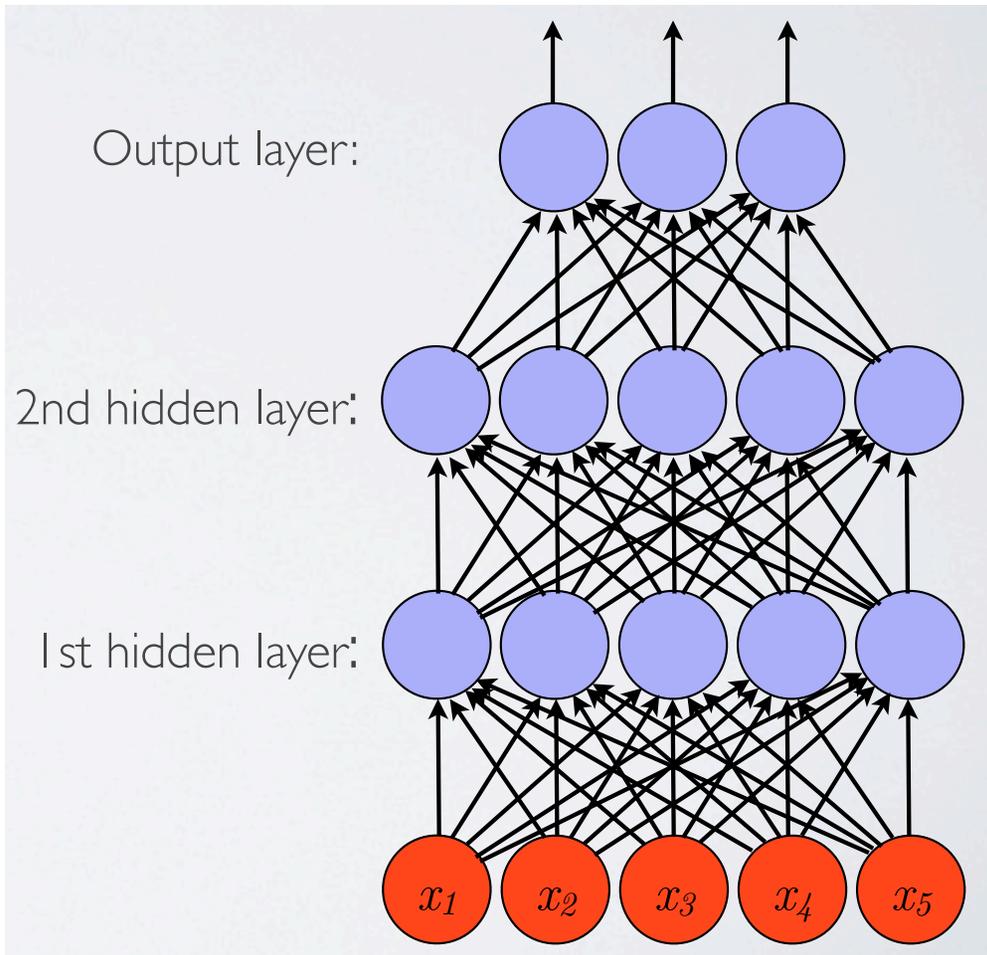
- Several datasets contain **sequences** of data (e.g. time-series, text)
- Bag-of-words assumption loses the **ordering** information.
- E.g. Machine translation



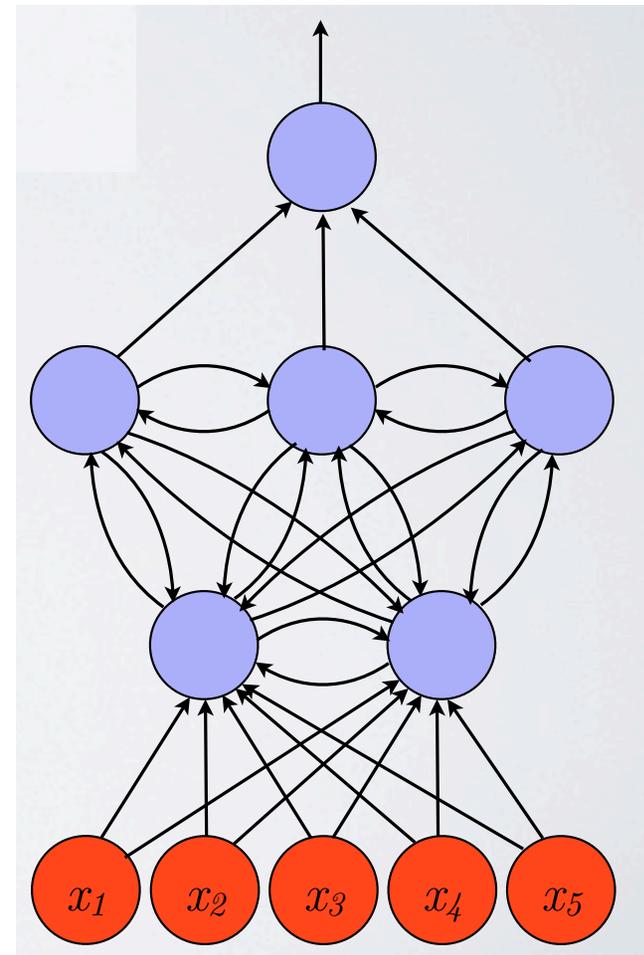
From Phil Blumson's slides:

Recurrent Neural Networks (RNNs)

Feed-forward neural net



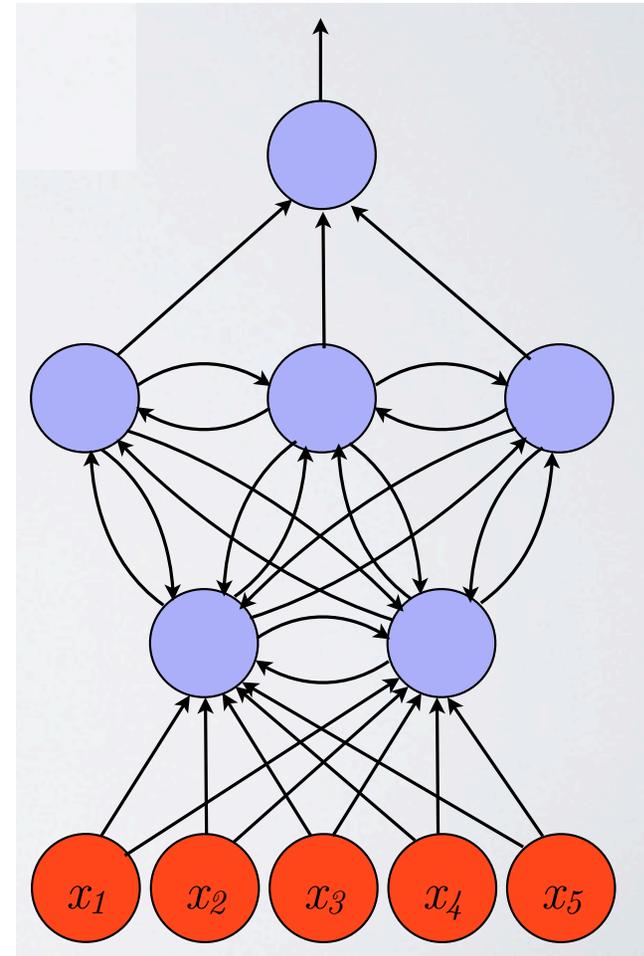
Add cycles in network



Recurrent neural networks (RNNs)

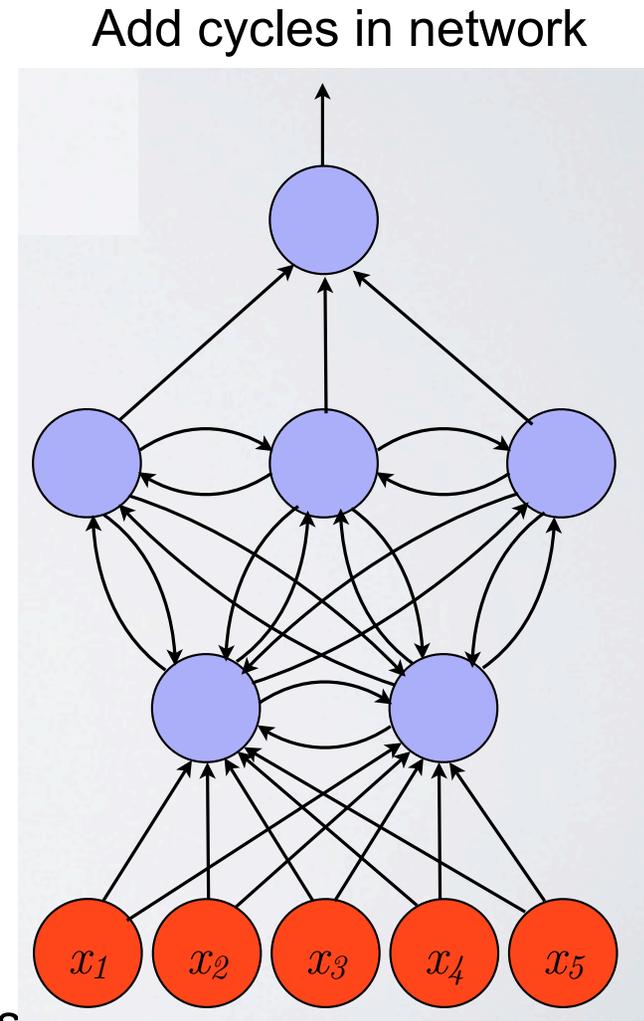
- RNNs can have arbitrary topology.
 - No fixed direction of information flow.
- Delays associated with connections.
 - Every **directed cycle** contains a delay.
- What can we represent with cycles?

Add cycles in network



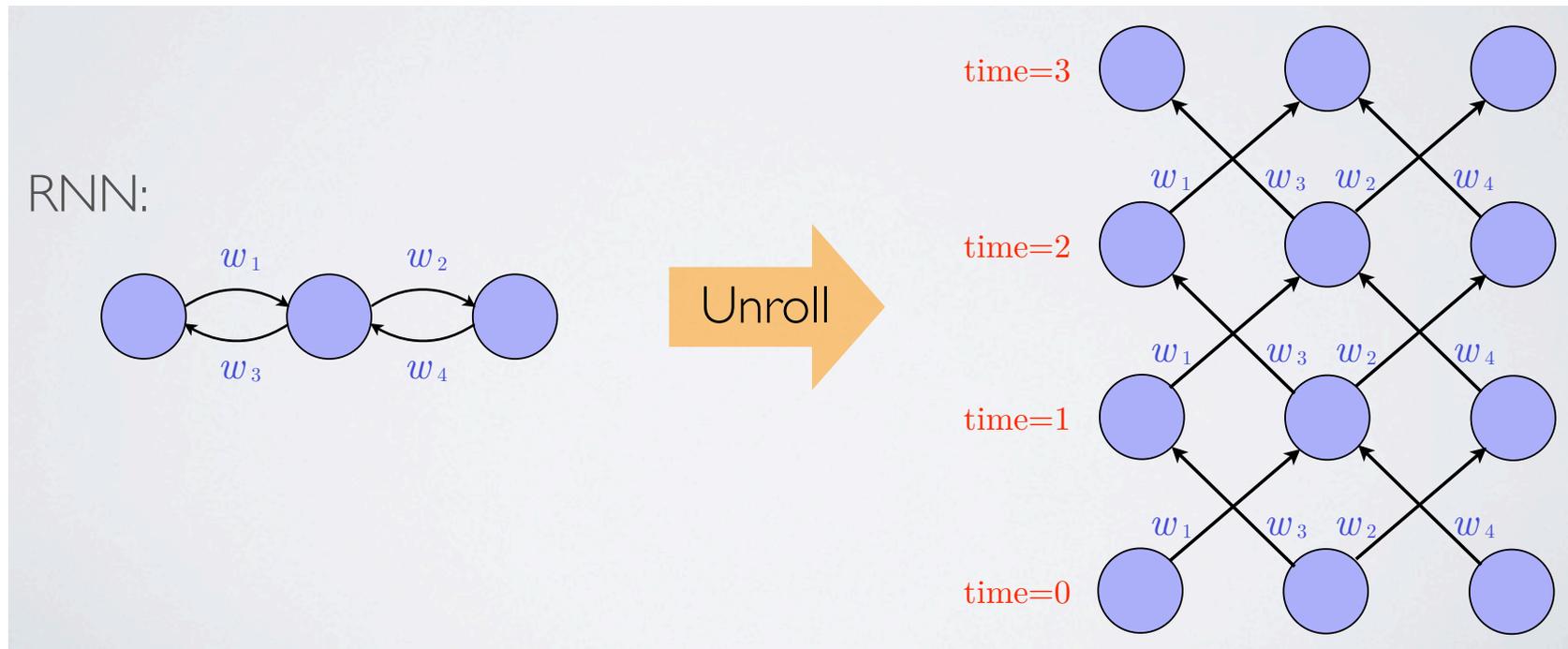
Recurrent neural networks (RNNs)

- RNNs can have arbitrary topology.
 - No fixed direction of information flow.
- Delays associated with connections.
 - Every **directed cycle** contains a delay.
- What can we represent with cycles?
 - Store an internal dynamic state.
 - Summarize/encode sequences, time-series.
 - Can capture oscillatory patterns.
 - Can ignore some portion of sequence.
 - Hard: Sequences with long dependencies.



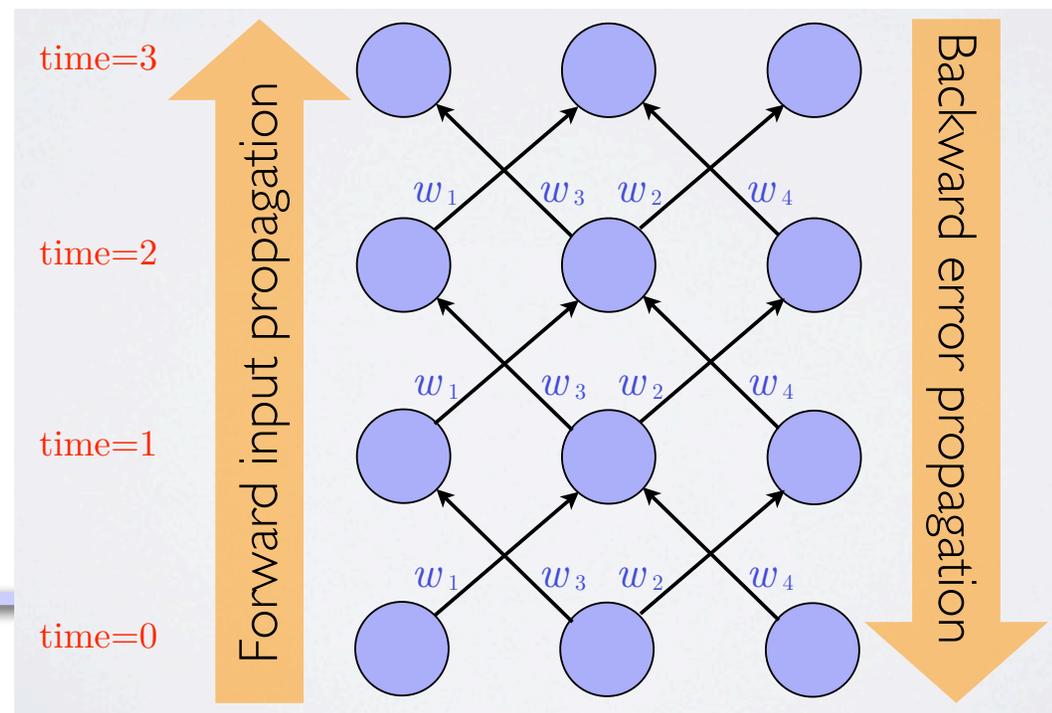
Recurrent Neural Networks (RNNs)

- Can unroll the RNN in time to get a standard feedforward NN.



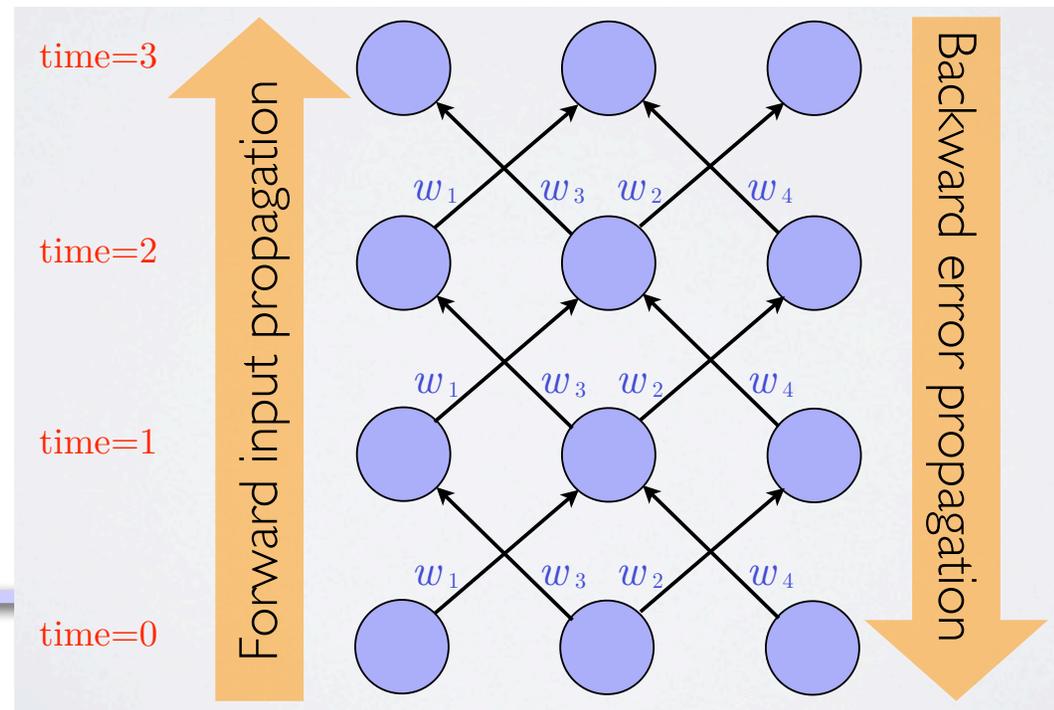
Training RNNs

- Backpropagate through time on the unrolled RNN, with constraint that corresponding weights are tied.



Training RNNs

- Backpropagate through time on the unrolled RNN, with constraint that corresponding weights are tied.
- Can specify the target in a few different ways:
 - Desired final activation of all units
 - Desired activations for all units for multiple time steps.
 - Desired activity of a subset of units.

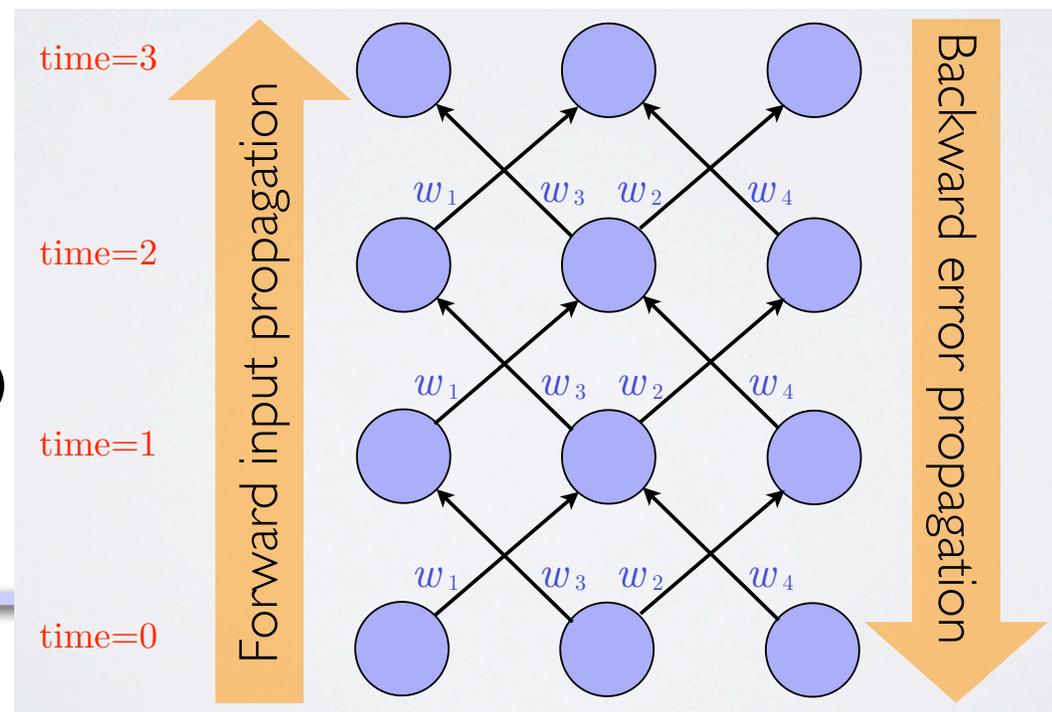


Training RNNs

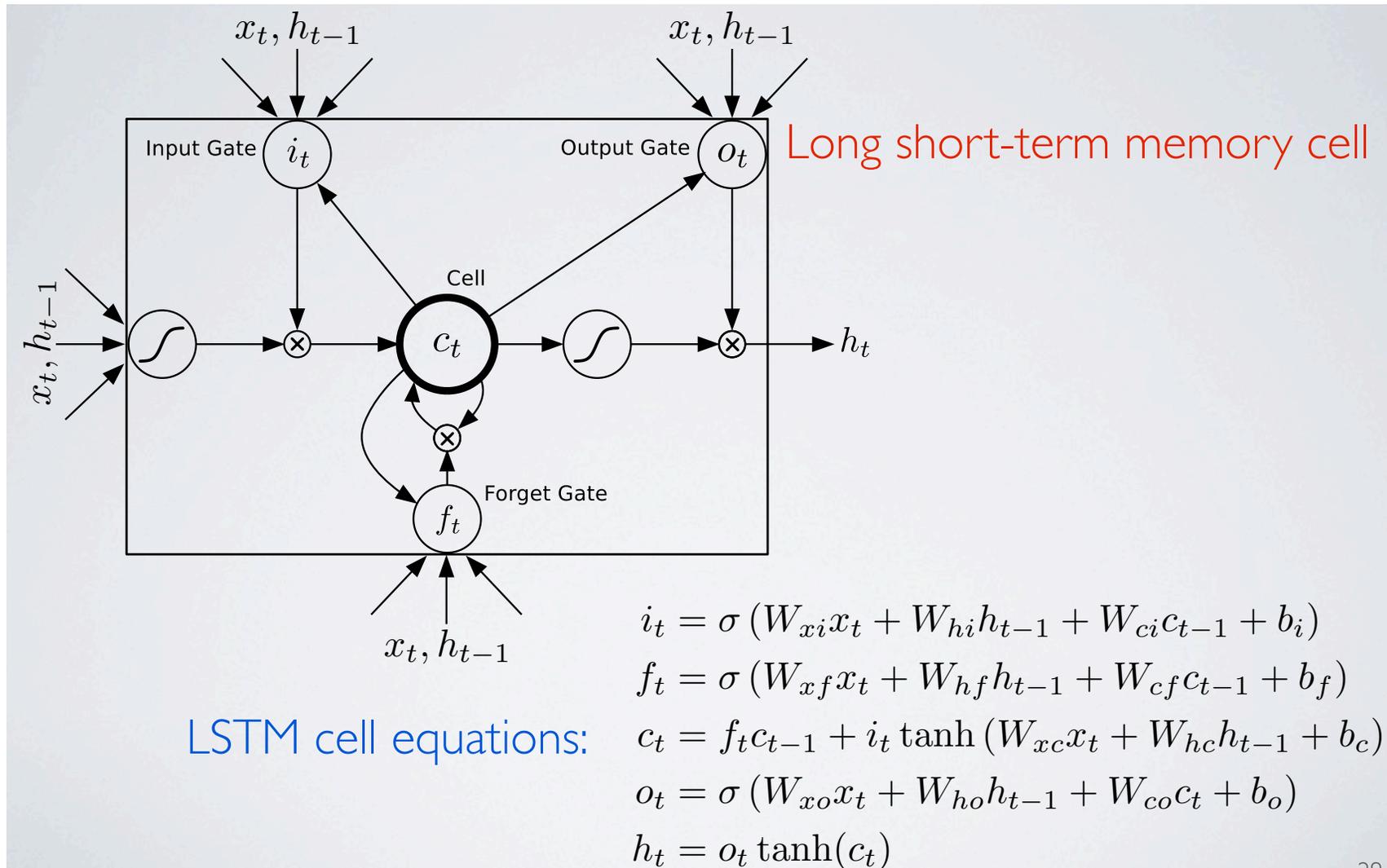
- Backpropagate through time on the unrolled RNN, with constraint that corresponding weights are tied.
- Can specify the target in a few different ways:
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- Main challenge:
Exploding/vanishing gradients
(gradients shrink/grow quickly.)

=> Change the architecture.

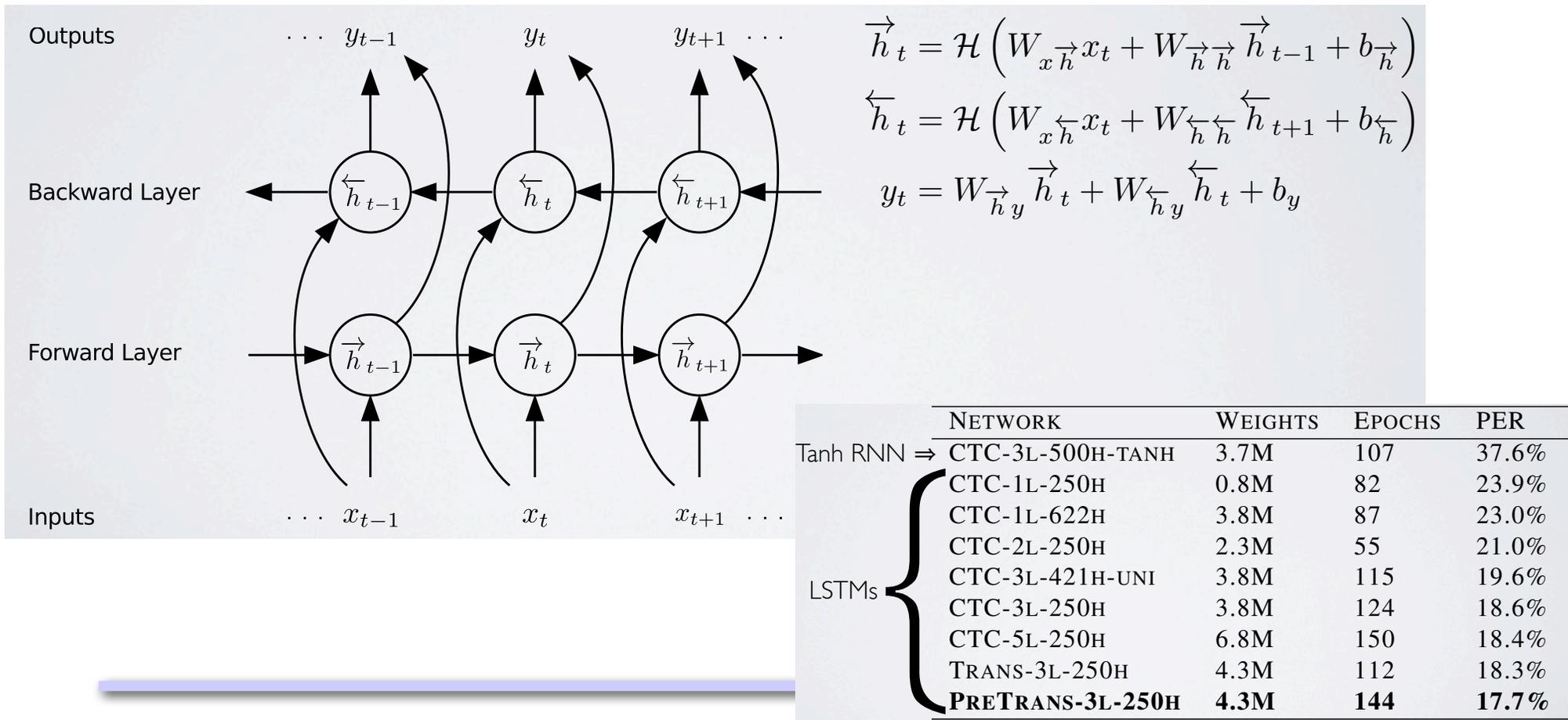


Long short-term memory (LSTM) network



LSTMs for speech recognition

Graves, Mohamed & Hinton (2013) used a bidirectional LSTM to incorporate both previous and future contextual information to predict a sequence of phonemes from the sequence of utterances.



Tasks for which LSTMs are best

- LSTM architecture has existed for many years (Hochreiter & Schmidhuber 1997).
- Several state-of-the-art results:
 - Cursive handwriting recognition (Graves & Schmidhuber, 2009)
 - Speech recognition (Graves, Mohamed & Hinton, 2013)
 - Machine translation (Sutskever, Vinyals & Le, 2014)
 - Question-answer (Weston et al., 2015)
 - Unstructured dialogue response generation (Serban et al., 2016)
- Main model for language understanding & generation tasks.

Neural Language Modelling

- Given sequence of words:

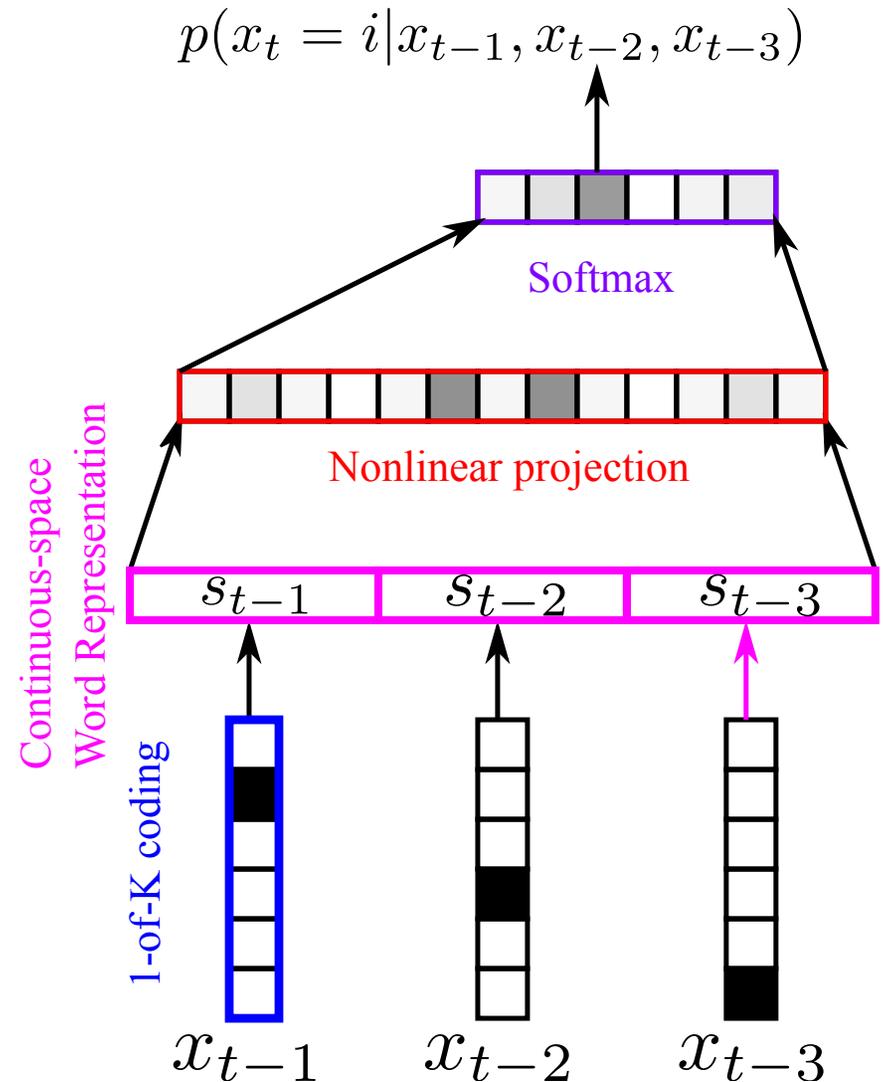
$$x_1, x_2, \dots, x_{t-1}, x_t$$

- Neural Language Modelling

$$p(x_t | x_{t-n}, \dots, x_{t-1}) = f_x(x_{t-n}, \dots, x_{t-1})$$

Continuous space word representation

$$s_{t'} = W^T x_{t'}, \text{ where } W \in \mathbb{R}^{|V| \times d}$$



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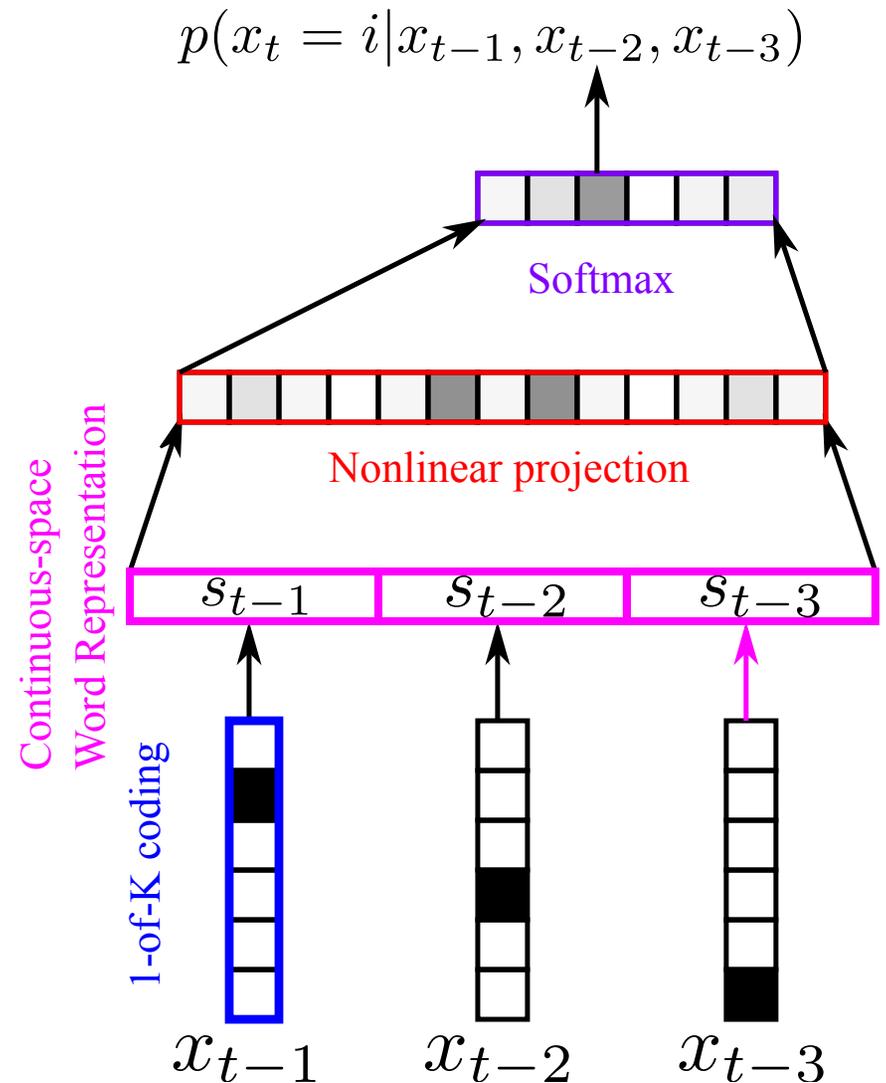
Continuous space word representation

$$s_{t'} = W^T x_{t'}, \text{ where } W \in \mathbb{R}^{|V| \times d}$$

Nonlinear hidden layer

$$h = \tanh(U^T [s_{t-1}; s_{t-2}; \dots; s_{t-n}] + b)$$

, where $U \in \mathbb{R}^{nd \times d'}$ and $b \in \mathbb{R}^{d'}$



Neural Language Modelling

- Given sequence of words:

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$$p(x_t | x_{t-n}, \dots, x_{t-1}) = f_x(x_{t-n}, \dots, x_{t-1})$$

Continuous space word representation

$$s_{t'} = W^\top x_{t'}, \text{ where } W \in \mathbb{R}^{|V| \times d}$$

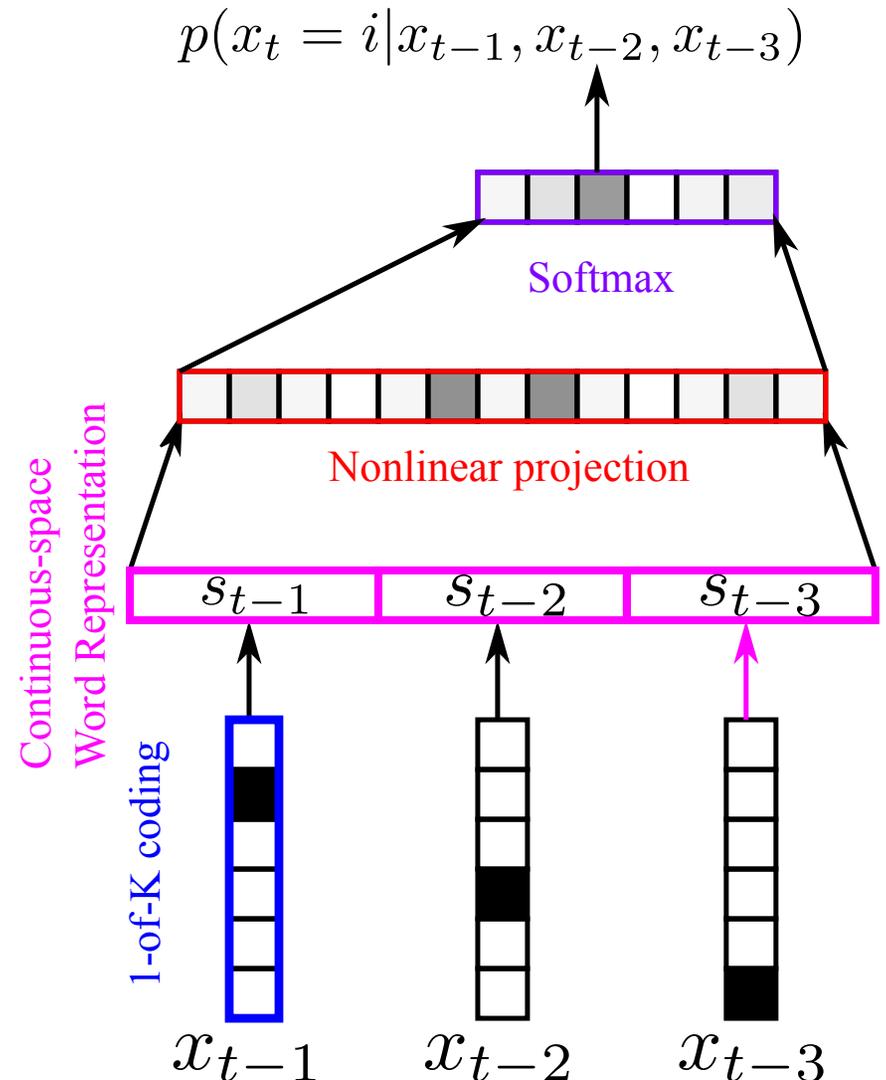
Nonlinear hidden layer

$$h = \tanh(U^\top [s_{t-1}; s_{t-2}; \dots; s_{t-n}] + b)$$

$$\text{, where } U \in \mathbb{R}^{nd \times d'} \text{ and } b \in \mathbb{R}^{d'}$$

Softmax normalization

$$p(x_t = i | x_{t-n}, \dots, x_{t-1}) = \frac{\exp(y_i)}{\sum_{j=1}^{|V|} \exp(y_j)}$$



Language modelling from recursion

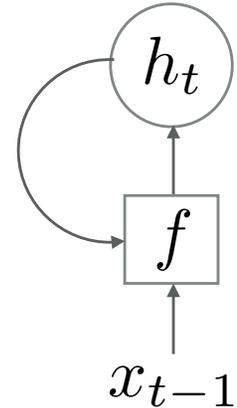
- Directly model the conditional probabilities.

$$p(x_1, x_2, \dots, x_T) = \prod_{t=1}^T p(x_t \mid x_1, \dots, x_{t-1})$$

- Recursive Construction:

Initial Condition: $h_0 = 0$

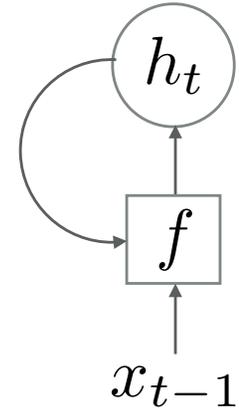
Recursion: $h_t = f(x_{t-1}, h_{t-1})$



Language modelling from recursion

- Directly model the conditional probabilities.

$$p(x_1, x_2, \dots, x_T) = \prod_{t=1}^T p(x_t | x_1, \dots, x_{t-1})$$



- Recursive Construction:

Initial Condition: $h_0 = 0$

Recursion: $h_t = f(x_{t-1}, h_{t-1})$

Example: $p(\text{eating} | \text{the, cat, is})$

(1) Initialization: $h_0 = 0$

(2) Recursion

(1) $h_1 = f(h_0, \text{the})$

(2) $h_2 = f(h_1, \text{cat})$

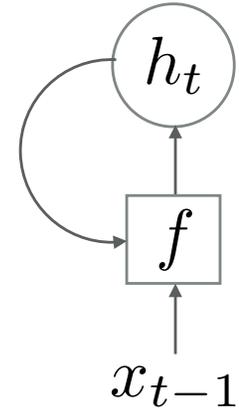
(3) $h_3 = f(h_2, \text{is})$

(3) Readout: $p(\text{eating} | \text{the, cat, is}) = g(h_3)$

Language modelling from recursion

- Directly model the conditional probabilities.

$$p(x_1, x_2, \dots, x_T) = \prod_{t=1}^T p(x_t | x_1, \dots, x_{t-1})$$



- Recursive Construction:

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(3) $h_3 = f(h_2, \text{is})$

(3) Readout: $p(\text{eating} | \text{the, cat, is}) = g(h_3)$

We call h_t an internal hidden state,

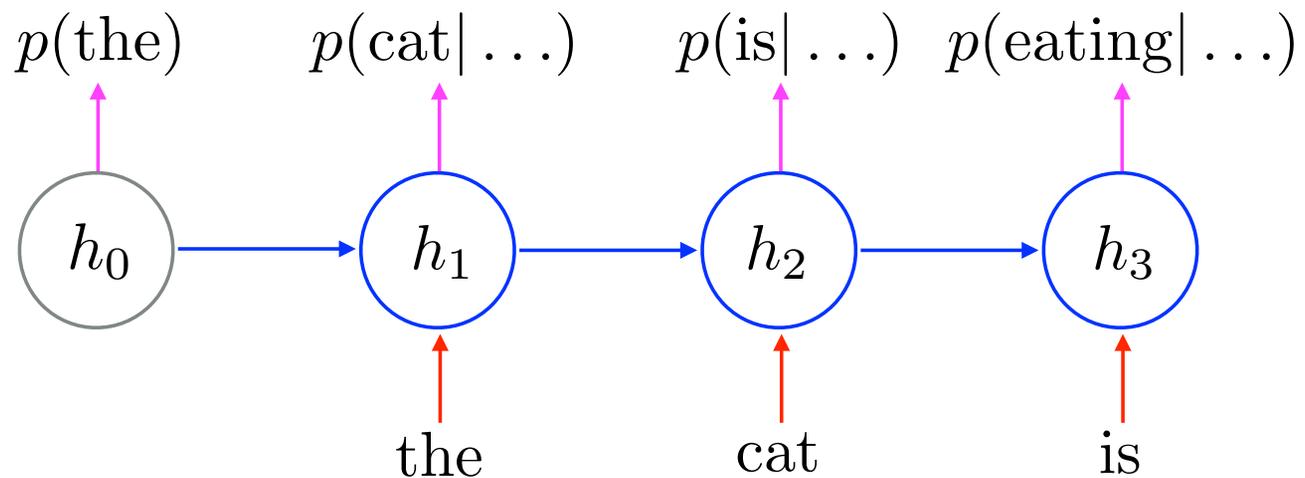
or **memory**, which summarizes history from x_1 up to x_{t-1} .

Recurrent neural language model

Transition Function $h_t = f(h_{t-1}, x_{t-1})$

Output/Readout Function $p(x_t = w | x_1, \dots, x_{t-1}) = g_w(h_t)$

Example: $p(\text{the, cat, is, eating})$



Training an RNN language model

- Loss function:

Log-Probability of a sentence (x_1, x_2, \dots, x_T)

$$\log p(x_1, x_2, \dots, x_T) = \sum_{t=1}^T \log p(x_t \mid x_1, \dots, x_{t-1})$$

Training an RNN language model

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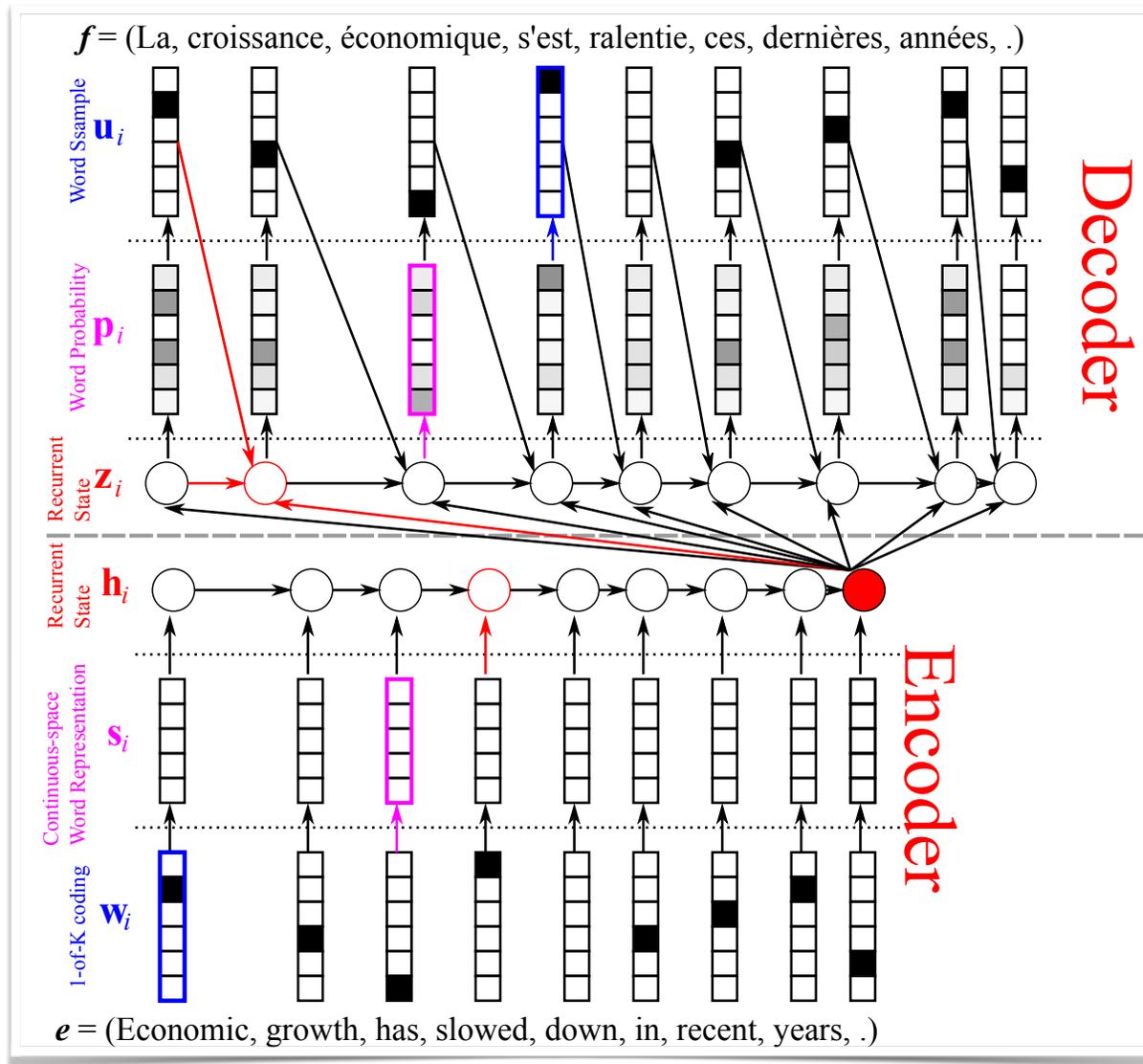
- Train an RNN LM to maximize the log-prob's of training sentences.

Given a training set of N sentences: $\{(x_1^1, \dots, x_{T_1}^1), \dots, (x_1^N, \dots, x_{T_N}^N)\}$

$$\text{maximize}_{\Theta} \frac{1}{N} \sum_{n=1}^N \log p(x_1^n, \dots, x_{T_n}^n)$$

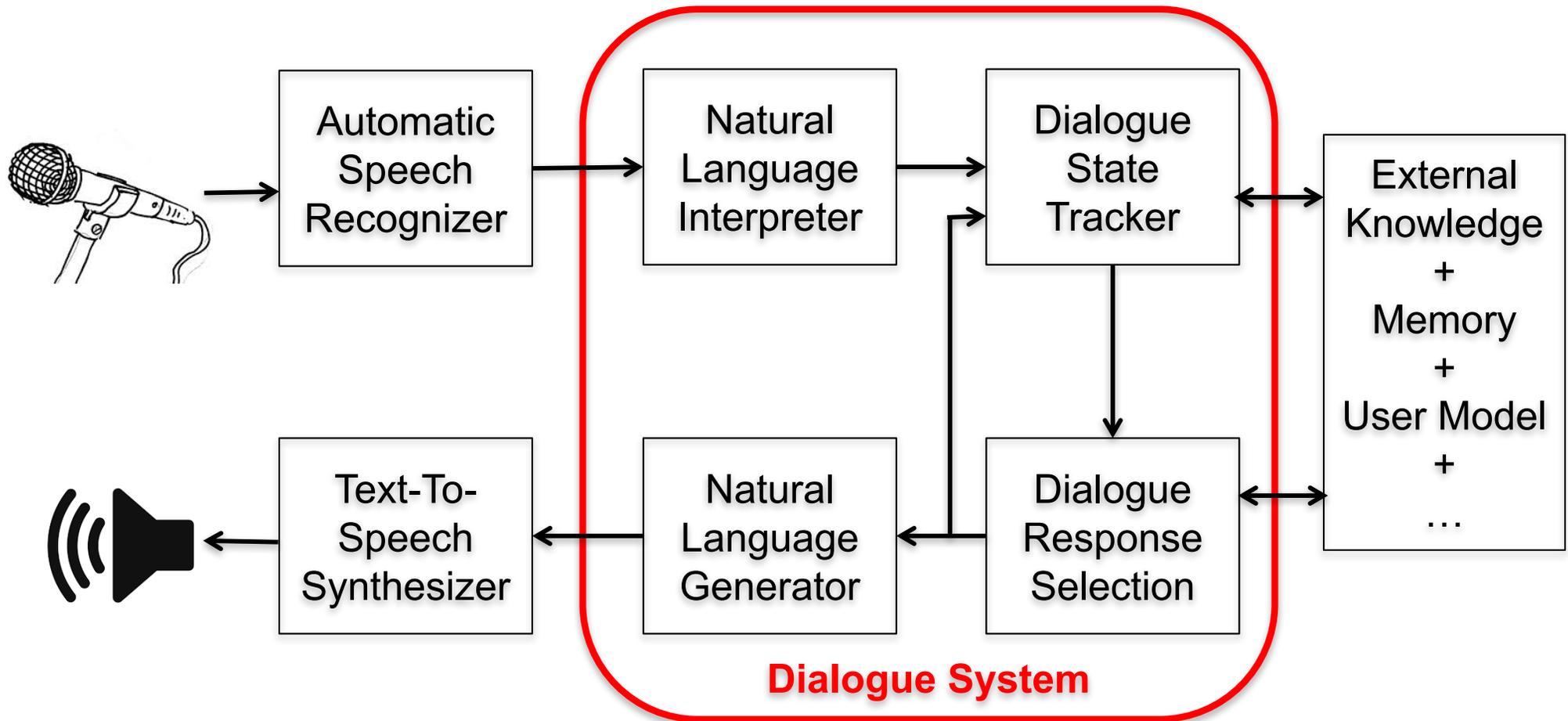
$$\iff \text{minimize}_{\Theta} J(\Theta) = -\frac{1}{N} \sum_{n=1}^N \sum_{t=1}^{T_n} \log p(x_t^n \mid x_1^n \dots, x_{t-1}^n)$$

Neural Machine Translation



(Chrisman, 1991;
 Forcada&Ñeco, 1997;
 Castaño&Casacuberta, 1997;
 Kalchbrenner&Blunsom, 2013;
 Sutskever et al., 2014;
 Cho et al., 2014)

Dialogue management



Dialogue datasets

Dataset	Type	Task	# Dialogues	# Utterances	Description
Switchboard [2]	Human-human spoken	Various	2,400	—	Telephone conversations on pre-specified topics
DSTC1 [9]	Human-computer spoken	State tracking	15,000	210,000	Bus ride information system
DSTC2 [4]	Human-computer spoken	State tracking	3,000	24,000	Restaurant booking system
DSTC3 [3]	Human-computer spoken	State tracking	2,265	15,000	Tourist information system
DSTC4 [5]	Human-human spoken	State tracking	35	—	21 hours of tourist info exchange over Skype
Twitter Corpus [6]	Human-human micro-blog	Next utterance generation	1,300,000	3,000,000	Post/ replies extracted from Twitter
Twitter Triple Corpus [8]	Human-human micro-blog	Next utterance generation	29,000,000	87,000,000	A-B-A triples from Twitter replies
Sina Weibo [7]	Human-human micro-blog	Next utterance generation	4,435,959	8,871,918	Post/ reply pairs extracted from Weibo



Ubuntu chat corpus

Initial chat room log:

Time	User	Utterance
03:44	Old	I dont run graphical ubuntu, I run ubuntu server.
03:45	kuja	Taru: Haha sucker.
03:45	Taru	Kuja: ?
03:45	bur[n]er	Old: you can use "ps ax" and "kill (PID#)"
03:45	kuja	Taru: Anyways, you made the changes right?
03:45	Taru	Kuja: Yes.
03:45	LiveCD	or killall speedlink
03:45	kuja	Taru: Then from the terminal type: sudo apt-get update
03:46	_pm	if i install the beta version, how can i update it when the final version comes out?
03:46	Taru	Kuja: I did.

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Disentangled into 2-way conversation:

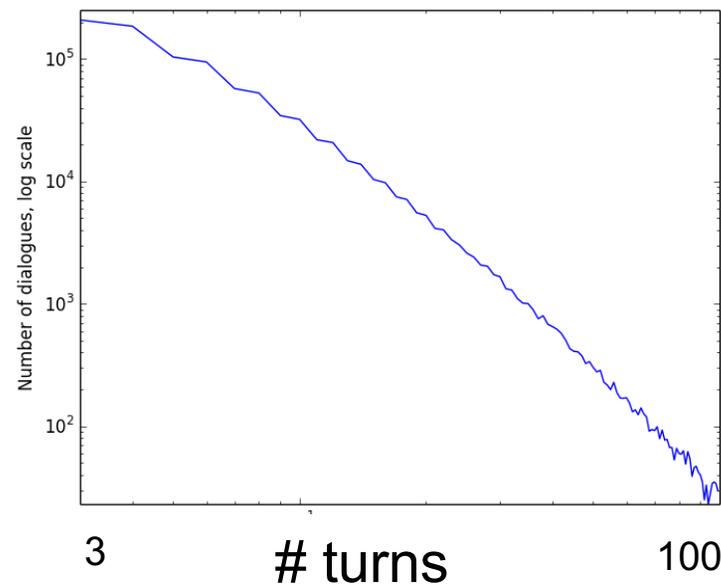
Sender	Recipient	Utterance
Old		I dont run graphical ubuntu, I run ubuntu server.
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Taru	Kuja	Yes.
kuja	Taru	Then from the terminal type: sudo apt-get update
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Ubuntu dialogue corpus

Key properties:

# dialogues (human-human)	930,000
# utterances (in total)	7,100,000
# words (in total)	100,000,000
Min. # turns per dialogue	3
Avg. # turns per dialogue	7.71
Avg. # words per utterance	10.34
Median conversation length (min)	6

Histogram of number
of turns per dialogue:



Task 1: Next utterance classification

Context:

....

“any apache hax around ? I just deleted all of `_path_` - which package provides it?”

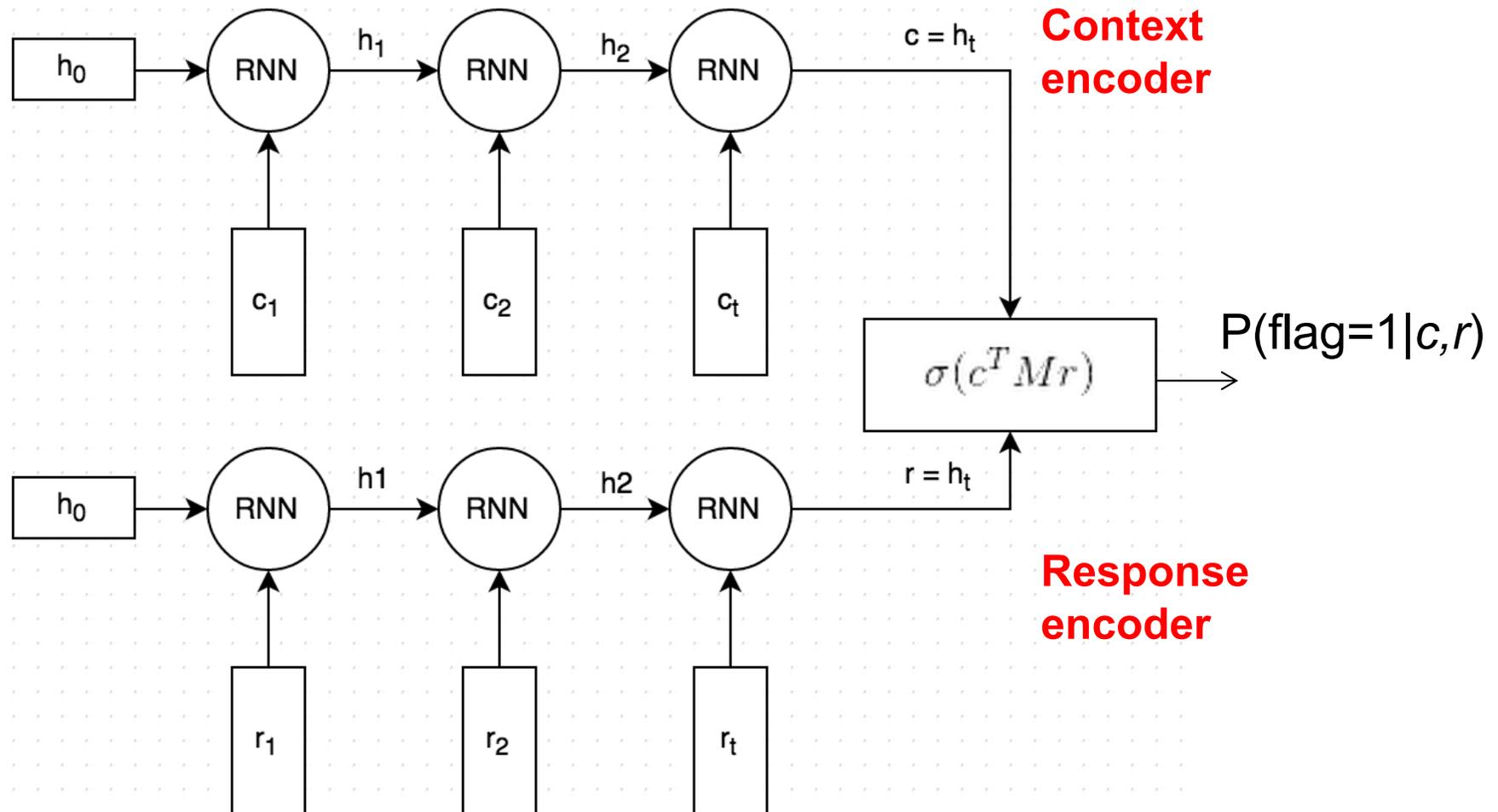
“reconfiguring apache do n’t solve it?”

Response 1: “does n’t seem to, no”

Response 2: “you can log in but not transfer files?”

The Dual Encoder model

[Lowe, Pow, Serban, Pineau, SIGdial 2015]



Results: Dual Encoder model on Ubuntu dataset

Method	TF-IDF	RNN
1 in 2 R@1	65.9%	87.8%
1 in 10 R@1	41.0%	60.4%
1 in 10 R@2	54.5%	74.5%
1 in 10 R@5	70.8%	92.6%

TF-IDF : Term frequency – inverse document frequency

$TF(t,d)$ = frequency of a word t in a document d

$IDF(t,D)$ = measure of how much information the word t provides across corpus of documents D

$$TF-IDF(t,d,D) = TF(t,d) \times IDF(t,D)$$

User study

Context:

“Hello. anybody could help? __EOS__”

“You need to say what your problem is, first.”

Response: “the text of some of my applications' menu are not well displayed”

Response: “do you know if cs:s runs good on it?”

Response: “he wants emerald theme...”

Response: “i dont have a cd-rom drive.”

Response: “But wont the number be part? eg., sda4 is always '4'?”

User study

Context:

“Hello. anybody could help? __EOS__”

“You need to say what your problem is, first.”

Response: “the text of some of my applications' menu are not well displayed”

Response: “do you know if cs:s runs good on it?”

Response: “he wants emerald theme...”

Response: “i dont have a cd-rom drive.”

Response: “But wont the number be part? eg., sda4 is always '4'?”

	Number of Users	Ubuntu Corpus	
		R@1	R@2
AMT non-experts	135	52.9 ± 2.7%	69.4 ± 2.5%
AMT experts	10	52.0 ± 9.8%	63.0 ± 9.5%
Lab experts	8	83.8 ± 8.1%	87.8 ± 7.2%
ANN model	machine	66.2%	83.7%

Task 2: Large corpus next-utterance retrieval

- **Search full dataset** for a good response: 1 in 10^6 R@10
 - Pre-compute the response encoding for all candidate utterances.
- Output ranked list of responses based on $P(\text{flag}=1|c,r) = \sigma(c^T M r)$.

Query("why is my laptop losing battery so fast")

Dual Encoder model

Top 10 likely responses in order

[[0.99915196]] i wonder if it ' s a heat issue. or it ' s draining the battery so fast that your laptop will shutdown

[[0.99909478]] didnt know that there is a page for apm , thanks :d. well , apm is not quite what i needed . my battery is going low too fast - although it should work at least __number__ hours (up to __number__) , it is ****unknown**** empty at ~ 1:40 . it is a toshiba m50 satellite and i think that i have to ****unknown**** something to spare some energy . the notebook an the accu are __number__ hours old ...

[[0.9989985]] sorry rodd !. how long does it stay on without being plugged in ?. and how old is battery roughly ?

[[0.99867463]] any ideas as to why nothing changes ?. yes to all ?. ok , here ' s what i ' ve got __url__ . i followed this guide : __url__ to install the ****unknown**** i do n't mind restarting , i can check the bios and see what the temp is according to it. brb. nothing changed , cpu temp according to bios is the __organization__ temp in sensors and __organization__ temp is the __organization__ cpu temp. nothing changed , cpu temp according to bios is the __organization__ temp in sensors and __organization__ temp is the __organization__ cpu tem

[[0.99856425]] i will seriously give you , free of charge , a __number__ ghz athlonxp on an a7v8x with roughly __number__ gb ram. why do you people have such horrid hardware ?

[[0.99848473]] i have this other computer , mobo is a asus ****unknown**** and no network card ive tried in it will work , i have a cheap network hub that is ok , this comp is in it , i got another old one going on it , but it refuses to use it. ive tried about 10-12 different network adaptors and short of trying to put in a ****unknown**** system for it im out of ideas. so far infact , i only have a intel adaptor on a older asus based comp and the __number__ 3com card in this computer going , most of the other ones i tried were infact , identical models to the 3com in this computer , and i tested them to work fine at school ...

[[0.99823273]] blast ... forgot about the __organization__ settings , have n't checked them ... will reboot & have a look @ bios . thanks !. homebuilt - __person__ a7n8x-e mobo , 1gb ddr , __number__ ghz amd xp-m cpu

Query("why is my laptop losing battery so fast", "tfidf") **Tf-idf match on query**

[1] come again ?. you might want to check __url__

[2] ibm thinkpad t22 ?

[3] __gpe__ to know :)

[4] i tried there but there isnt my problem

[5] i guess is another problem .

[6] __gpe__ , np . thanks for your time :)

[7] try livecd , most likely it is hardware issue

[8] this shows my how much time is left . but i would like to see the actual discharge rate

[9] __gpe__ prob not. your __organization__ probably limits charging above a certain % too (why it says __number__ minutes vs say __number__)

[10] that is correct. fast user switching seems to work better for me (it uses the __organization__ package for doing it . it is probably a newer version in __gpe__)

Measuring response retrieval quality

- **BLEU score** from Machine Translation analyzes co-occurrence of n-grams in 2 sentences.

Score computed between true response and generated response.

Dual Encoder model	17.08 (<i>high variance</i>)
Tf-idf	5.81
Random response	0.20

Generative modeling of responses

<speaker A> How are you, Tom? </s>

<speaker B> I'm good, thanks <pause> did you get my message yesterday? </s>

<speaker B nods>

<speaker B> Yes, it was interesting. </s>

<speaker C turns head around>

<speaker C> what message? </s>

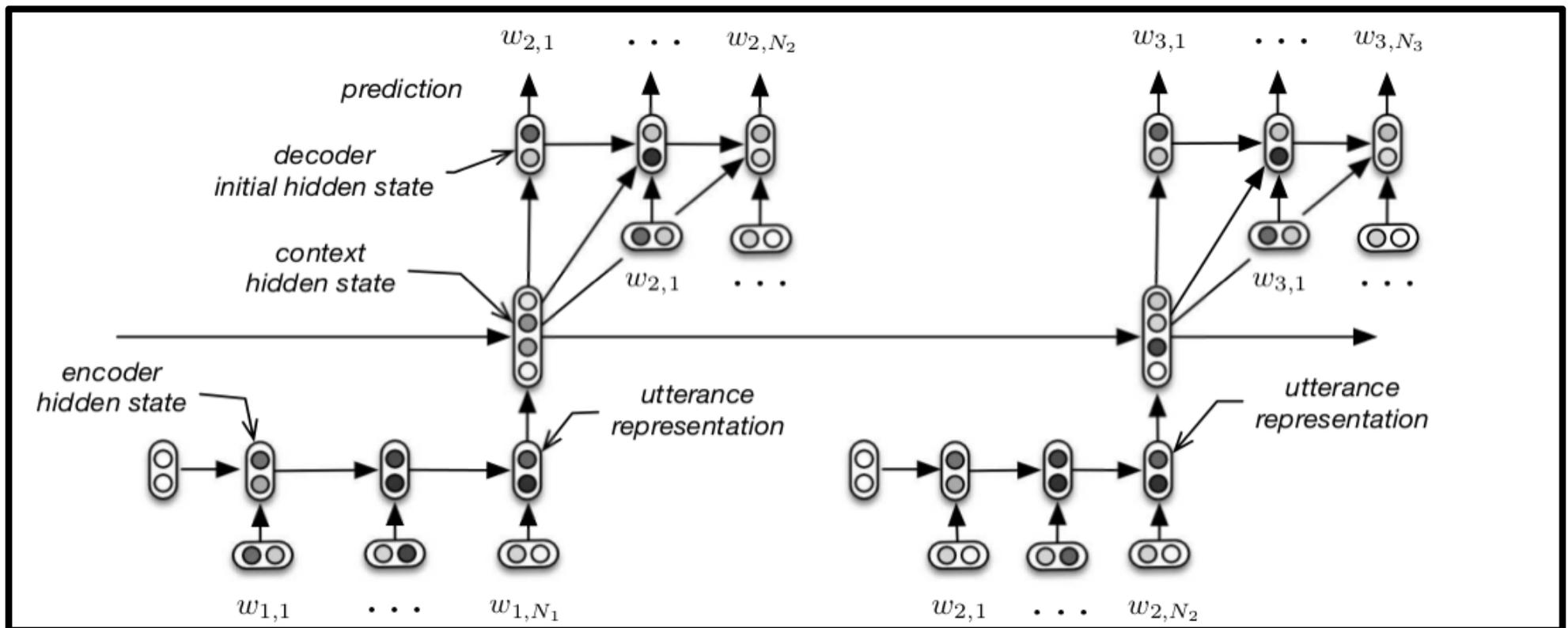
...

Task 3: Natural language response generation

[Serban, A. Sordoni, Y. Bengio, A. Courville, J. Pineau, AACL 2015]

Hierarchical Encoder-Decoder

- Encode each utterance + Encode the conversation
- Decode response into natural language



Results

Model	Perplexity	Perplexity@U ₃	Error-Rate	Error-Rate@U ₃
Backoff N-Gram	64.89	65.05	-	-
Modified Kneser-Ney	60.11	54.75	-	-
Absolute Discounting N-Gram	56.98	57.06	-	-
Witten-Bell Discounting N-Gram	53.30	53.34	-	-
RNN	35.63 ± 0.16	35.30 ± 0.22	66.34% ± 0.06	66.32% ± 0.08
DCGM-1	36.10 ± 0.17	36.14 ± 0.26	66.44% ± 0.06	66.57% ± 0.10
HRED	36.59 ± 0.19	36.26 ± 0.29	66.32% ± 0.06	66.32% ± 0.11
HRED + Word2Vec	33.95 ± 0.16	33.62 ± 0.25	66.06% ± 0.06	66.05% ± 0.09
RNN + SubTle	27.09 ± 0.13	26.67 ± 0.19	64.10% ± 0.06	64.07% ± 0.10
HRED + SubTle	27.14 ± 0.12	26.60 ± 0.19	64.10% ± 0.06	64.03% ± 0.10
HRED-Bi. + SubTle	26.81 ± 0.11	26.31 ± 0.19	63.93% ± 0.06	63.91% ± 0.09

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RNN	35.63 ± 0.16	35.30 ± 0.22	66.34% ± 0.06	66.32% ± 0.08
DCGM-1	36.10 ± 0.17	36.14 ± 0.26	66.44% ± 0.06	66.57% ± 0.10
HRED	36.59 ± 0.19	36.26 ± 0.29	66.32% ± 0.06	66.32% ± 0.11
HRED + Word2Vec	33.95 ± 0.16	33.62 ± 0.25	66.06% ± 0.06	66.05% ± 0.09
RNN + SubTle	27.09 ± 0.13	26.67 ± 0.19	64.10% ± 0.06	64.07% ± 0.10
HRED + SubTle	27.14 ± 0.12	26.60 ± 0.19	64.10% ± 0.06	64.03% ± 0.10
HRED-Bi. + SubTle	26.81 ± 0.11	26.31 ± 0.19	63.93% ± 0.06	63.91% ± 0.09

Conclusion?

- Neural models are better than n-gram models.
- HRED is better than RNNs (handles longer dialogues)
- Incorporating Word2Vec and SubTle improves performance.

Evaluation metrics

✓ Perplexity, word error rate

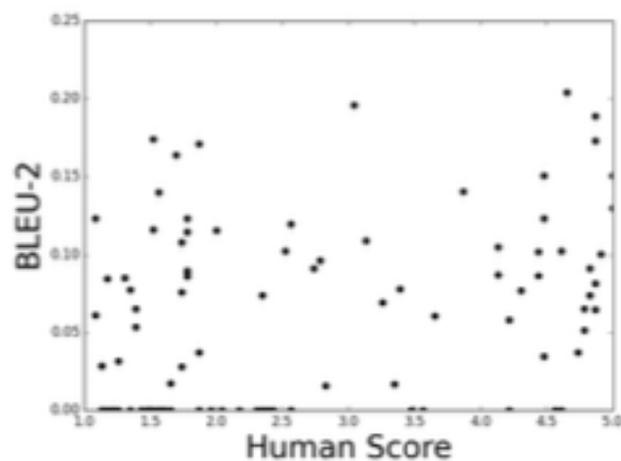
Word overlap metrics: Count **number of overlapping word subsets** between generated and reference response.

- From machine translation: **BLEU**, METEOR
- From text summarization: ROUGE

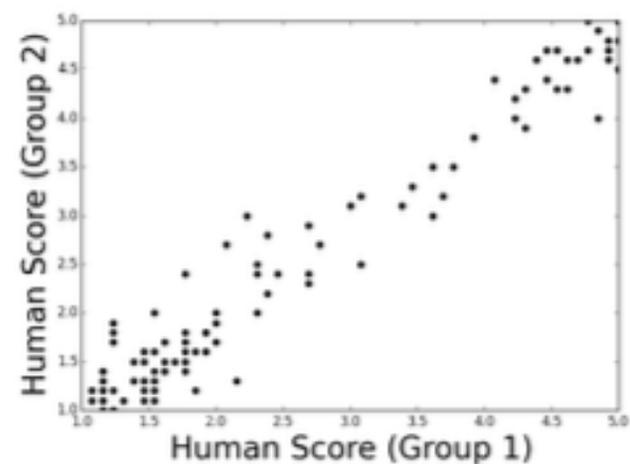
Correlation with human judgment

[Liu, Lowe, Serban, Noseworthy, Charlin, Pineau, EMNLP 2016]

BLEU-2



Between humans



Task design

Context:

Hello. anybody could help? __EOS__
You need to say what your problem is, first.

Response 1: the text of some of my applications' menu are not well displayed (ubuntu 8.10) .

Response 2: do you know if cs:s runs good on it?

Response 3: he wants emerald theme...

Response 4: i dont have a cd-rom drive.

Response 5: But wont the number be part? eg., sda4 is always '4'?

Space of acceptable next utterances is large!

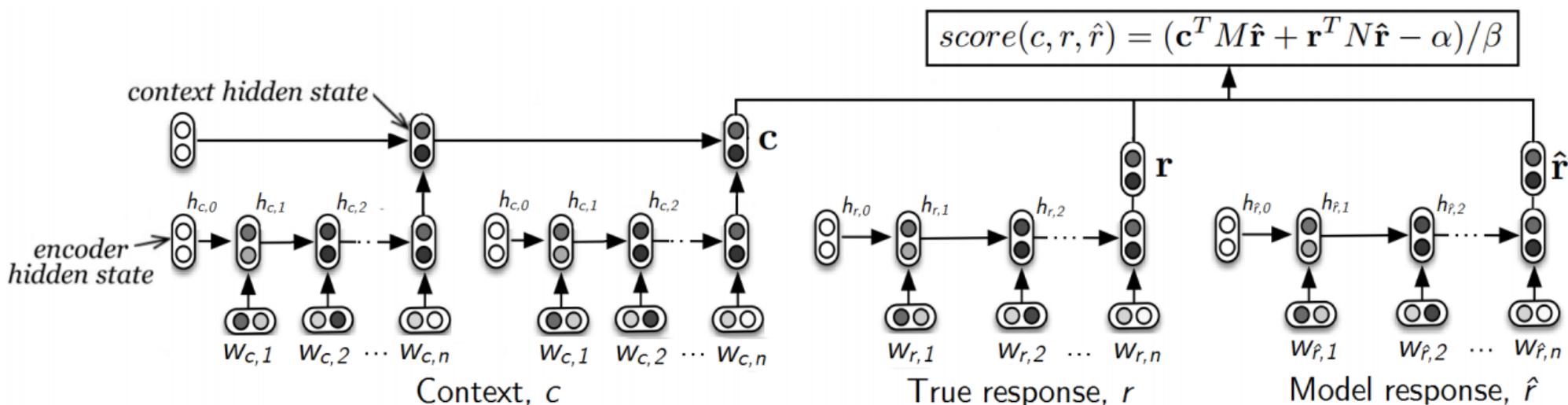
It's hard to pick a good loss function!

Automatic Dialogue Evaluation Model (ADEM)

- Given context, model response, and reference response, ADEM tries to **predict the human score** for that response.

$$\mathcal{L} = \sum_{i=1:K} [\text{score}(c_i, r_i, \hat{r}_i) - \text{human_score}_i]^2 + \gamma \|\theta\|_1$$

- Minimize:



What you should know

- Types of deep learning architectures:
 - Stacked autoencoders
 - Convolutional neural networks
 - **Recurrent neural networks**
- Examples of successful applications.
- From more on Deep Learning, see invited talks at DLSS'16:
<https://sites.google.com/site/deeplearningsummerschool2016/speakers>
(Some material from today's lecture taken from Kyunghyun Cho's talk.)